

General information about company

Scrip code	543243
NSE Symbol	EQUITASBNK
MSEI Symbol	NOTLISTED
ISIN	INE063P01018
Name of the entity	EQUITAS SMALL FINANCE BANK LIMITED
Date of start of financial year	01-04-2024
Date of end of financial year	31-03-2025
Reporting Quarter	Half Yearly
Date of Report	30-09-2024
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 500 listed entities

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Textual Information(1)

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

No

Sr	Title (Mr / Ms)	Name of the Director		DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	ANIL KUMAR SHARMA		08537123	Non-Executive - Independent Director	Chairperson		08-03-1963
2	Mr	ARUN KUMAR VERMA		03220124	Non-Executive - Independent Director	Not Applicable		28-06-1951
3	Mr	VINOD KUMAR SHARMA		02051084	Non-Executive - Independent Director	Not Applicable		22-12-1952
4	Mr	NARAYANASWAMY BALAKRISHNAN		00181842	Non-Executive - Independent Director	Not Applicable		01-06-1950
5	Mr	NAVIN PURI		08493643	Non-Executive - Independent Director	Not Applicable		02-08-1958
6	Mr	NARASIMHAN SRINIVASAN		01501266	Non-Executive - Independent Director	Not Applicable		09-06-1954
7	Mr	ASURI RAMESH RANGAN SHOLINGHUR		07586413	Non-Executive - Independent Director	Not Applicable		15-05-1957
8	Ms	GEETA DUTTA GOEL		02277155	Non-Executive - Independent Director	Not Applicable		16-06-1973
9	Mr	SAMIR KUMAR BARUA		00211077	Non-Executive - Independent Director	Not Applicable		23-09-1951
10	Mr	VASUDEVAN PATHANGI NARASIMHAN		01550885	Executive Director	Not Applicable	CEO-MD	15-07-1962
11	Mr	GULSHAN RAI		01594321	Non-Executive - Independent Director	Not Applicable		09-04-1953
12	Mr	KEEZHAYUR SOWRIRAJAN SAMPATH		07924755	Non-Executive - Independent Director	Not Applicable		26-08-1959
13	Mr	NARAYANAN RAJAGOPALAN NADADUR		07877022	Non-Executive - Independent Director	Not Applicable		03-08-1962
14	Mr	RAMKUMAR KRISHNASWAMY		00244711	Non-Executive - Independent Director	Not Applicable		08-08-1961

I. Composition of Board of Directors**Disqualification of Directors under section 164 of the Companies Act, 2013**

Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active
8	No				Active
9	No				Active
10	No				Active
11	No				Active
12	No				Active
13	No				Active
14	No				Active

I. Composition of Board of Directors

Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN	Notes for not providing DIN
1	NA		25-04-2024	25-04-2024		5	1	1	1	1			
2	NA		04-09-2016	04-09-2021	04-09-2024	96	0	0	0	0	Tenure Completion		
3	NA		04-09-2016	01-09-2019	01-09-2024	96	0	0	0	0	Tenure Completion		
4	NA		21-09-2016	21-09-2021	21-09-2024	96	1	0	1	0	Tenure Completion		
5	NA		01-08-2019	01-08-2024		62	2	2	3	0			
6	NA		04-09-2016	04-09-2021	27-07-2024	95	0	0	0	0	Others		
7	NA		09-11-2020	09-11-2020		47	2	2	2	0			
8	NA		27-12-2021	27-12-2021		33	2	2	2	0			
9	NA		27-12-2021	27-12-2021		33	1	1	1	1			
10	NA		23-07-2016	23-07-2023		98	1	0	1	0			
11	NA		28-03-2024	28-03-2024		6	1	1	2	1			
12	NA		16-07-2024	16-07-2024		2	1	1	1	1			
13	NA		16-07-2024	16-07-2024		2	1	1	2	0			
14	NA		16-07-2024	16-07-2024		2	1	1	0	0			

Text Block

Textual Information(1)

Mr Arun Kumar Verma ceased to be the Independent Director of the Bank from the close of business hours on September 03,2024 consequent to the completion of his second consecutive term as an Independent Director of the Bank.

Mr Vinod Kumar Sharma ceased to be the Independent Director of the Bank from the close of business hours on August 31,2024, consequent to the completion of his second consecutive term as an Independent Director of the Bank.

Mr Narayanaswamy Balakrishnan ceased to be the Independent Director of the Bank from the close of business hours on September 20,2024, consequent to the completion of his second consecutive term as an Independent Director of the Bank.

Mr Narasimhan Srinivasan had resigned with effect from the close of business hours on July 26, 2024 due to personal commitments.

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07924755	KEEZHAYUR SOWRIRAJAN SAMPATH	Non-Executive - Independent Director	Chairperson	16-07-2024		
2	07586413	ASURI RAMESH RANGAN SHOLINGHUR	Non-Executive - Independent Director	Member	17-12-2020		
3	08493643	NAVIN PURI	Non-Executive - Independent Director	Member	16-07-2024		
4	01594321	GULSHAN RAI	Non-Executive - Independent Director	Member	16-07-2024		
5	07877022	NARAYANAN RAJAGOPALAN NADADUR	Non-Executive - Independent Director	Member	16-07-2024		
6	03220124	ARUN KUMAR VERMA	Non-Executive - Independent Director	Chairperson	04-09-2016	16-07-2024	
7	02051084	VINOD KUMAR SHARMA	Non-Executive - Independent Director	Member	04-09-2016	16-07-2024	
8	00211077	SAMIR KUMAR BARUA	Non-Executive - Independent Director	Member	28-01-2022	16-07-2024	

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00244711	RAMKUMAR KRISHNASWAMY	Non-Executive - Independent Director	Chairperson	16-07-2024		
2	08537123	ANIL KUMAR SHARMA	Non-Executive - Independent Director	Member	16-07-2024		
3	08493643	NAVIN PURI	Non-Executive - Independent Director	Member	07-11-2019		
4	00211077	SAMIR KUMAR BARUA	Non-Executive - Independent Director	Member	16-07-2024		
5	02277155	GEETA DUTTA GOEL	Non-Executive - Independent Director	Member	16-07-2024		
6	01501266	NARASIMHAN SRINIVASAN	Non-Executive - Independent Director	Chairperson	04-09-2016	16-07-2024	Textual Information(1)
7	00181842	NARAYANASWAMY BALAKRISHNAN	Non-Executive - Independent Director	Member	20-10-2016	16-07-2024	
8	02051084	VINOD KUMAR SHARMA	Non-Executive - Independent Director	Member	04-09-2016	16-07-2024	

Sr Text Block

Textual Information(1)

Mr. Narasimhan Srinivasan was appointed as Chairperson of the Nomination and Remuneration Committee with effect from May 15,2023

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08537123	ANIL KUMAR SHARMA	Non-Executive - Independent Director	Chairperson	16-07-2024		
2	02277155	GEETA DUTTA GOEL	Non-Executive - Independent Director	Member	15-05-2023		
3	07877022	NARAYANAN RAJAGOPALAN NADADUR	Non-Executive - Independent Director	Member	16-07-2024		
4	01550885	VASUDEVAN PATHANGI NARASIMHAN	Executive Director	Member	16-07-2024		
5	02051084	VINOD KUMAR SHARMA	Non-Executive - Independent Director	Member	15-05-2023	16-07-2024	
6	03220124	ARUN KUMAR VERMA	Non-Executive - Independent Director	Member	07-11-2019	16-07-2024	

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07586413	ASURI RAMESH RANGAN SHOLINGHUR	Non-Executive - Independent Director	Chairperson	16-07-2024		
2	08537123	ANIL KUMAR SHARMA	Non-Executive - Independent Director	Member	16-07-2024		
3	00211077	SAMIR KUMAR BARUA	Non-Executive - Independent Director	Member	16-07-2024		
4	07924755	KEEZHAYUR SOWRIRAJAN SAMPATH	Non-Executive - Independent Director	Member	16-07-2024		
5	01550885	VASUDEVAN PATHANGI NARASIMHAN	Executive Director	Member	04-09-2016		
6	02051084	VINOD KUMAR SHARMA	Non-Executive - Independent Director	Chairperson	04-09-2016	16-07-2024	
7	03220124	ARUN KUMAR VERMA	Non-Executive - Independent Director	Member	04-09-2016	16-07-2024	
8	00181842	NARAYANASWAMY BALAKRISHNAN	Non-Executive - Independent Director	Member	20-10-2016	16-07-2024	
9	01501266	NARASIMHAN SRINIVASAN	Non-Executive - Independent Director	Member	15-05-2023	16-07-2024	

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02277155	GEETA DUTTA GOEL	Non-Executive - Independent Director	Chairperson	28-01-2022		Textual Information(1)
2	08537123	ANIL KUMAR SHARMA	Non-Executive - Independent Director	Member	16-07-2024		
3	00211077	SAMIR KUMAR BARUA	Non-Executive - Independent Director	Member	15-05-2023		
4	00244711	RAMKUMAR KRISHNASWAMY	Non-Executive - Independent Director	Member	16-07-2024		
5	01550885	VASUDEVAN PATHANGI NARASIMHAN	Executive Director	Member	18-10-2021		
6	01501266	NARASIMHAN SRINIVASAN	Non-Executive - Independent Director	Member	04-09-2016	16-07-2024	

Sr Text Block

Textual Information(1)

Ms. Geeta Dutta Goel was appointed as the Chairperson of the Corporate Social Responsibility Committee with effect from May 15,2023

Other Committee						
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Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
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Annexure 1

Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory

Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	25-06-2024				Yes	11	11	10
2		15-07-2024	19		Yes	11	11	10
3		26-07-2024	10		Yes	14	14	13
4		23-08-2024	27		Yes	13	11	10

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	28-06-2024				Yes	4	4	4	0
2	Audit Committee	25-07-2024	26			Yes	5	5	5	0
3	Audit Committee	05-08-2024	10			Yes	5	5	5	0
4	Audit Committee	07-08-2024	1			Yes	5	5	5	0
5	Audit Committee	23-08-2024	15			Yes	5	5	5	0
6	Audit Committee	30-09-2024	37			Yes	5	5	5	0

Annexure 1

IV. Meeting of Committees

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Nomination and remuneration committee	26-06-2024				Yes	4	4	4	0
8	Nomination and remuneration committee	03-07-2024	6			Yes	4	4	4	0
9	Nomination and remuneration committee	12-07-2024	8			Yes	4	4	4	0
10	Stakeholders Relationship Committee	13-06-2024				Yes	3	3	3	0
11	Stakeholders Relationship Committee	05-09-2024	83			Yes	4	3	2	0
12	Risk Management Committee	13-06-2024				Yes	5	5	4	0
13	Risk Management Committee	05-09-2024	83			Yes	5	5	4	0

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	N Ramanathan
2	Designation	Company Secretary and Compliance Officer

Annexure III

III. Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	Yes	
6	Submission of Annual Secretarial Compliance Report	24A(2)	Yes	
7	Whether “Corporate Governance Report” disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
	Any other information to be provided		Textual Information(1)	

Text Block

Textual Information(1)

Disclosure of the Secretarial Audit report of the listed entity and the material subsidiaries in the Annual report- The Bank has no material subsidiaries.

Annexure III

1	Name of signatory	N Ramanathan
2	Designation	Company Secretary and Compliance Officer

Additional Half yearly Disclosure

Applicability of disclosure

Not Applicable

Reason for Non Applicability

Textual Information(1)

Text Block

Textual Information(1)

The Company being a Banking Company, the aforesaid disclosure is not applicable.

Details of Cyber security incidence

Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter

No

Number of cyber security incidence or breaches or loss of data event occurred during the quarter

Sr.

Date of the event

Brief details of the event

Signatory Details

Name of signatory	N Ramanathan
Designation of person	Company Secretary and Compliance Officer
Place	Chennai
Date	10-10-2024

