

April 20, 2022

The Secretary National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex Mumbai - 400051	The Secretary BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai - 400 001
Symbol : EQUITASBNK	Scrip Code : 543243

Dear Sirs

**Sub: Submission of Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015**

We are forwarding herewith a certificate issued by Ms Jayashree S Iyer, Company Secretary in Practice under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2022. We request you to kindly take the above information on record.

Thanking You

Yours truly  
for Equitas Small Finance Bank Limited



Sampathkumar K R  
Company Secretary



BEYOND BANKING

When you bank with us, you contribute towards a better society.

Equitas Small Finance Bank Limited  
(Previously known as Equitas Finance Limited)

2847558

4<sup>th</sup> Floor, Phase II, Spencer Plaza, No.769, Mount Road, Anna Salai, Chennai, Tamil Nadu, India - 600 002

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**JAYASHREE S IYER**  
**COMPANY SECRETARY, INSOLVENCY PROFESSIONAL & REGISTERED VALUER**  
**FCS C.P.No.21403 / IBBI REGN NO.IBBI/IPA-002/IP-N00741/2018-19/12211**

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UDIN Number: **F010394D000166077**

**Compliance Certificate in Terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**For the period from 1<sup>st</sup> April 2021 to 31<sup>st</sup> March 2022**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **M/s. EQUITAS SMALL FINANCE BANK LIMITED** having its registered office at 410A, 4<sup>th</sup> Floor, Spencer Plaza, Phase II, No. 769, Mount Road, Anna Salai, Chennai - 600 002 maintained by **M/s. KFIN TECHNOLOGIES LIMITED, Registrar and Share Transfer Agents to the Company ("RTA")** pertaining to transfer or transmission or transposition of equity shares of the Company held in physical form, **for the period from 1<sup>st</sup> April 2021 to 31<sup>st</sup> March 2022** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

During the year ended 31<sup>st</sup> March 2022, the Company / RTA has not received or processed any request for issue of certificates for transfer, sub-division, split, consolidation, renewal, exchange or endorsement of calls / allotment monies.

Place: Chennai  
Date: 20.04.2022

*Jayashree*



Jayashree S Iyer  
Practising Company Secretary  
C.P. No. 21403

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**23 Lake Area, 3<sup>rd</sup> Cross Street, Nungambakkam, Chennai 600034**  
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