

### General information about company

Scrip code	543243
NSE Symbol	EQUITASBNK
MSEI Symbol	NOTLISTED
ISIN	INE063P01018
Name of the company	EQUITAS SMALL FINANCE BANK LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

### Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table VI - Statement showing foreign ownership limits**

<b>Particular</b>	<b>Approved limits (%)</b>	<b>Limits utilized (%)</b>
As on shareholding date	74	23.27
As on the end of previous 1st quarter	74	21.9
As on the end of previous 2nd quarter	74	25.13
As on the end of previous 3rd quarter	74	26.28
As on the end of previous 4th quarter	74	4.34

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group										
(B)	Public	361709	1131452717			1131452717	100	1131452717		1131452717	100
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	361709	1131452717			1131452717	100	1131452717		1131452717	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group												
(B)	Public				100					1131452602	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100					1131452602	0	0	0

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	25	437738022			437738022	38.69	437738022		437738022	38.69
(c)	Alternate Investment Funds	22	26524006			26524006	2.34	26524006		26524006	2.34
(e)	Insurance Companies	10	52201720			52201720	4.61	52201720		52201720	4.61
(i)	NBFCs registered with RBI	2	21483			21483	0	21483		21483	0
Sub-Total (B) (1)		59	516485231			516485231	45.65	516485231		516485231	45.65
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	141	164478308			164478308	14.54	164478308		164478308	14.54
(e)	Foreign Portfolio Investors	19	57333675			57333675	5.07	57333675		57333675	5.07

	Category II										
Sub-Total (B) (2)		160	221811983			221811983	19.6	221811983		221811983	19.6
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(b)	Directors and their relatives (excluding independent directors and nominee directors)	3	3998994			3998994	0.35	3998994		3998994	0.35
(c)	Key Managerial Personnel	2	590917			590917	0.05	590917		590917	0.05
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	345001	145671175			145671175	12.87	145671175		145671175	12.87
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	1312	158901407			158901407	14.04	158901407		158901407	14.04
(i)	Non Resident Indians (NRIs)	5034	13583856			13583856	1.2	13583856		13583856	1.2
(k)	Foreign Companies	1	33122916			33122916	2.93	33122916		33122916	2.93
(l)	Bodies Corporate	979	28699104			28699104	2.54	28699104		28699104	2.54
(m)	Any Other (specify)	9158	8587134			8587134	0.76	8587134		8587134	0.76
Sub-Total (B) (4)		361490	393155503			393155503	34.75	393155503		393155503	34.75

Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		361709	1131452717			1131452717	100	1131452717		1131452717	100
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2 )		361709	1131452717			1131452717	100	1131452717		1131452717	100
Total (A+B+C )		361709	1131452717			1131452717	100	1131452717		1131452717	100



**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(2)	Foreign											
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				38.69					437738022	0	0	0
(c)				2.34					26524006	0	0	0
(e)				4.61					52201720	0	0	0
(i)				0					21483	0	0	0
Sub-Total (B) (1)				45.65					516485231	0	0	0
(2)	Institutions (Foreign)											
(d)				14.54					164478308	0	0	0
(e)				5.07					57333675	0	0	0
Sub-Total (B) (2)				19.6					221811983	0	0	0

(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(b)				0.35				3998994	0	0	0
(c)				0.05				590917	0	0	0
(g)				12.87				145671060	0	0	0
(h)				14.04				158901407	0	0	0
(i)				1.2				13583856	0	0	0
(k)				2.93				33122916	0	0	0
(l)				2.54				28699104	0	0	0
(m)				0.76				8587134	0	0	0
Sub-Total (B) (4)				34.75				393155388	0	0	0
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)+(B)(4)				100				1131452602	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)				100				1131452602			
Total (A+B+C)				100				1131452602			

### Mutual Funds

Searial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	ICICI PRUDENTIAL MUTUAL FUND	DSP MUTUAL FUND	CANARA ROBECO MUTUAL FUND	HDFC MUTUAL FUND	INVESCO INDIA MUTUAL FUND	NIPPON INDIA MUTUAL FUND	SUNDARAM MUTUAL FUND
No. of fully paid up equity shares held (IV)	24792711	12911862	26169239	83474662	55391475	35462564	16805401
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	24792711	12911862	26169239	83474662	55391475	35462564	16805401
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.19	1.14	2.31	7.38	4.9	3.13	1.49
<b>Number of Voting Rights held in each class of securities (IX)</b>							
Class eg: X	24792711	12911862	26169239	83474662	55391475	35462564	16805401
Class eg:y							
Total	24792711	12911862	26169239	83474662	55391475	35462564	16805401
Total as a % of Total Voting rights	2.19	1.14	2.31	7.38	4.9	3.13	1.49

No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.19	1.14	2.31	7.38	4.9	3.13	1.49
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	24792711	12911862	26169239	83474662	55391475	35462564	16805401
Reason for not providing PAN							
Reason for not providing PAN							

Sub-categorization of shares							
Sub-category (i)	0	0	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0	0	0

### Mutual Funds

Searial No.	8	9	
Name of the Shareholders (I)	FRANKLIN INDIA MUTUAL FUND	SBI MUTUAL FUND	<a href="#">Click here to go back</a>
			Total
No. of fully paid up equity shares held (IV)	71644436	64294662	390947012
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	71644436	64294662	390947012
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.33	5.68	34.55
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	71644436	64294662	390947012
Class eg:y			
Total	71644436	64294662	390947012
Total as a % of Total Voting rights	6.33	5.68	34.55

No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.33	5.68	34.55
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	71644436	64294662	390947012
Reason for not providing PAN			
Reason for not providing PAN			

Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0



### Foreign Portfolio Investors Category I

Searial No.	1	2	3	
Name of the Shareholders (I)	GOVERNMENT PENSION FUND GLOBAL	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	ELLIPSIS PARTNERS LLC	<a href="#">Click here to go back</a>
				Total
No. of fully paid up equity shares held (IV)	12152842	19016728	30554871	61724441
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12152842	19016728	30554871	61724441
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.07	1.68	2.7	5.46
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	12152842	19016728	30554871	61724441
Class eg:y				
Total	12152842	19016728	30554871	61724441
Total as a % of Total Voting rights	1.07	1.68	2.7	5.46

No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.07	1.68	2.7	5.46
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	12152842	19016728	30554871	61724441
Reason for not providing PAN				
Reason for not providing PAN				

Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

### Insurance Companies

Searial No.	1	2	
Name of the Shareholders (I)	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	TATA AIA LIFE INSURANCE CO LTD	<a href="#">Click here to go back</a>
			Total
No. of fully paid up equity shares held (IV)	19338751	22839811	42178562
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	19338751	22839811	42178562
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.71	2.02	3.73
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	19338751	22839811	42178562
Class eg:y			
Total	19338751	22839811	42178562
Total as a % of Total Voting rights	1.71	2.02	3.73

No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.71	2.02	3.73
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	19338751	22839811	42178562
Reason for not providing PAN			
Reason for not providing PAN			

Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

## Foreign Companies

Searial No.	1	
Name of the Shareholders (I)	INTERNATIONAL FINANCE CORPORATION	<a href="#">Click here to go back</a>
		Total
No. of fully paid up equity shares held (IV)	33122916	33122916
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	33122916	33122916
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.93	2.93
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	33122916	33122916
Class eg:y		
Total	33122916	33122916
Total as a % of Total Voting rights	2.93	2.93

No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.93	2.93
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	33122916	33122916
Reason for not providing PAN		
Reason for not providing PAN	Textual Information(1)	



Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

**Text Block**

Textual Information(1)

Since, the body corporate is falling under exempted category in availing PAN, the same is not provided

### Foreign Portfolio Category II

Searial No.	1	
Name of the Shareholders (I)	RIMCO INDIA LIMITED	<a href="#">Click here to go back</a>
		Total
No. of fully paid up equity shares held (IV)	23100000	23100000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	23100000	23100000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.04	2.04
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	23100000	23100000
Class eg:y		
Total	23100000	23100000
Total as a % of Total Voting rights	2.04	2.04

No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.04	2.04
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	23100000	23100000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		

Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

**Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.**

Searial No.	1	
Name of the Shareholders (I)	MR.ASHISH DHAWAN	<a href="#">Click here to go back</a>
		Total
No. of fully paid up equity shares held (IV)	40370000	40370000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	40370000	40370000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.57	3.57
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	40370000	40370000
Class eg:y		
Total	40370000	40370000
Total as a % of Total Voting rights	3.57	3.57

No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.57	3.57
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	40370000	40370000
Reason for not providing PAN		
Reason for not providing PAN		

Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0



**Any Other (specify)**

Serial No.	1	2	3	4	
Category	Clearing Members	Trusts	HUF	Unclaimed or Suspense or Escrow Account	
Category / More than 1 percentage	Category	Category	Category	Category	
Name of the Shareholders (I)					<a href="#">Click here to go back</a>
					Total
No. of the Shareholders (I)	9	10	8957	182	9158
No. of fully paid up equity shares held (IV)	11556	408541	7954270	212767	8587134
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	11556	408541	7954270	212767	8587134
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.04	0.7	0.02	0.76
<b>Number of Voting Rights held in each class of securities (IX)</b>					
Class eg: X	11556	408541	7954270	212767	8587134

Class eg:y					
Total	11556	408541	7954270	212767	8587134
Total as a % of Total Voting rights	0	0.04	0.7	0.02	0.76
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0.04	0.7	0.02	0.76
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in	11556	408541	7954270	212767	8587134

dematerialized form (XIV)					
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

**Details of Shares which remain unclaimed for Public**

Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
1	182	212767	0	Textual Information(1)

**Text Block**

Textual Information(1)

Equity shares pursuant to the approved scheme of Equitas Holdings Limited and Equitas Small Finance Bank Limited were allotted in demat form only. Out of 2,99,311 equity shares held in the unclaimed suspense account, 2,10,902 equity shares were being held on behalf of the Physical shareholders of the erstwhile EHL as on record date and 88,409 equity shares pertain to rejected cases with respect to allotment in pursuance of the approved scheme. As on December 31, 2023, the outstanding shares in the aforesaid account are 2,12,767 equity shares