

General information about company

Scrip code	543243
NSE Symbol	EQUITASBNK
MSEI Symbol	NOTLISTED
ISIN	INE063P01018
Name of the company	EQUITAS SMALL FINANCE BANK LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Capital Restructuring
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	10-03-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	03-03-2023
Shareholding pattern filed under	Regulation 31 (1) (c)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	74	17.86
As on the end of previous 1st quarter	74	4.34
As on the end of previous 2nd quarter	74	4.43
As on the end of previous 3rd quarter	74	4.22
As on the end of previous 4th quarter	74	3.73

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of (A+B+C)
								No of Voting (XIV) Rights			
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group										
(B)	Public	129418	320763319			320763319	100	320763319		320763319	100
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	129418	320763319			320763319	100	320763319		320763319	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group												
(B)	Public				100					320763204	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100					320763204	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	14	161272056			161272056	50.28	161272056		161272056	50.28
(c)	Alternate Investment Funds	4	9563541			9563541	2.98	9563541		9563541	2.98
(e)	Insurance Companies	5	15857412			15857412	4.94	15857412		15857412	4.94
(i)	NBFCs registered with RBI	1	318352			318352	0.1	318352		318352	0.1
Sub-Total (B) (1)		24	187011361			187011361	58.3	187011361		187011361	58.3
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	68	52299781			52299781	16.3	52299781		52299781	16.3
(e)	Foreign Portfolio Investors Category II	7	2524924			2524924	0.79	2524924		2524924	0.79
Sub-Total (B) (2)		75	54824705			54824705	17.09	54824705		54824705	17.09
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(c)	Key Managerial Personnel	2	185933			185933	0.06	185933		185933	0.06
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	125482	50354164			50354164	15.7	50354164		50354164	15.7
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	291	20974430			20974430	6.54	20974430		20974430	6.54
(i)	Non Resident Indians (NRIs)	2114	3404038			3404038	1.06	3404038		3404038	1.06
(l)	Bodies Corporate	182	1837002			1837002	0.57	1837002		1837002	0.57
(m)	Any Other (specify)	1248	2171686			2171686	0.68	2171686		2171686	0.68
Sub-Total (B) (4)		129319	78927253			78927253	24.61	78927253		78927253	24.61
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)+(B) (4)		129418	320763319			320763319	100	320763319		320763319	100
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		129418	320763319			320763319	100	320763319		320763319	100

Total (A+B+C)		129418	320763319			320763319	100		320763319		320763319	100
----------------	--	--------	-----------	--	--	-----------	-----	--	-----------	--	-----------	-----

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(2)	Foreign											
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				50.28					161272056	0	0	0
(c)				2.98					9563541	0	0	0
(e)				4.94					15857412	0	0	0
(i)				0.1					318352	0	0	0
Sub-Total (B) (1)				58.3					187011361	0	0	0
(2)	Institutions (Foreign)											
(d)				16.3					52299781	0	0	0
(e)				0.79					2524924	0	0	0
Sub-Total (B) (2)				17.09					54824705	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(c)				0.06					185933	0	0	0
(g)				15.7					50354049	0	0	0
(h)				6.54					20974430	0	0	0
(i)				1.06					3404038	0	0	0
(l)				0.57					1837002	0	0	0
(m)				0.68					2171686	0	0	0
Sub-Total (B) (4)				24.61					78927138	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				100					320763204	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100					320763204			
Total (A+B+C)				100					320763204			
Disclosure of notes on shareholding pattern								Textual Information(1)				
Disclosure of notes on shareholding pattern for company remarks explanatory								Textual Information(1)				

Text Block

Textual Information()	<p>Equitas Holdings Limited (erstwhile Promoter) stands dissolved in pursuance of Scheme of Amalgamation between Equitas Holdings Limited (Transferor Company/Amalgamating Company/EHL) and Equitas Small Finance Bank Limited (Transferee Company/Amalgamated Company/ESFBL) and their respective shareholders approved by the Hon'ble National Company Law Tribunal, Division Bench II, Chennai vide its order dated January 12, 2023.</p> <p>Consequently, 93,39,43,363 equity shares of Equitas Small Finance Bank Limited which were held by Equitas Holdings Limited (Erstwhile Promoter) have been cancelled on account of cross holdings in pursuance of the approved Scheme.</p>
Textual Information()	<p>Equitas Holdings Limited (erstwhile Promoter) stands dissolved in pursuance of Scheme of Amalgamation between Equitas Holdings Limited (Transferor Company/Amalgamating Company/EHL) and Equitas Small Finance Bank Limited (Transferee Company/Amalgamated Company/ESFBL) and their respective shareholders approved by the Hon'ble National Company Law Tribunal, Division Bench II, Chennai vide its order dated January 12, 2023.</p> <p>Consequently, 93,39,43,363 equity shares of Equitas Small Finance Bank Limited which were held by Equitas Holdings Limited (Erstwhile Promoter) have been cancelled on account of cross holdings in pursuance of the approved Scheme.</p>

Mutual Funds

Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	ICICI PRUDENTIAL MUTUAL FUND	HDFC MUTUAL FUND	NIPPON INDIA MUTUAL FUND	TATA BANKING & FINANCIAL SERVICES FUND	FRANKLIN INDIA MUTUAL FUND	UTI MUTUAL FUND	SBI MUTUAL FUND
No. of fully paid up equity shares held (IV)	9790866	45627264	39121548	4624443	13475090	5336488	39402242
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	9790866	45627264	39121548	4624443	13475090	5336488	39402242
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.05	14.22	12.2	1.44	4.2	1.66	12.28
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	9790866	45627264	39121548	4624443	13475090	5336488	39402242
Class eg:y							
Total	9790866	45627264	39121548	4624443	13475090	5336488	39402242
Total as a % of Total Voting rights	3.05	14.22	12.2	1.44	4.2	1.66	12.28
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.05	14.22	12.2	1.44	4.2	1.66	12.28
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	9790866	45627264	39121548	4624443	13475090	5336488	39402242
Reason for not providing PAN							
Reason for not providing PAN							

Sub-categorization of shares							
Sub-category (i)	0	0	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0	0	0

Mutual Funds

Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	157377941
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	157377941
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	49.06
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	157377941
Class eg:y	
Total	157377941
Total as a % of Total Voting rights	49.06
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	49.06
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	157377941
Reason for not providing PAN	
Reason for not providing PAN	
Sub-categorization of shares	
Shareholding (No. of shares) under	

Sub-category (i)	0
Sub-category (ii)	0
Sub-category (iii)	0

Alternate Investment Funds

Serial No.	1	2	
Name of the Shareholders (I)	IIFL HIGH GROWTH COMPANIES FUND	HIGH CONVICTION FUND - SERIES 1	Click here to go back
			Total
No. of fully paid up equity shares held (IV)	4662204	4660870	9323074
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4662204	4660870	9323074
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.45	1.45	2.91
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	4662204	4660870	9323074
Class eg:y			
Total	4662204	4660870	9323074
Total as a % of Total Voting rights	1.45	1.45	2.91
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.45	1.45	2.91
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	4662204	4660870	9323074
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			

Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

Foreign Portfolio Investors Category I

Serial No.	1	2	3	4	
Name of the Shareholders (I)	GOVERNMENT OF SINGAPORE	INTEGRATED CORE STRATEGIES ASIA PTE LTD	OMNIS PORTFOLIO INVESTMENTS ICVC - OMNIS GLOBAL EMERGING MARKETS EQUITY OPPORTUNITIES FUND	BNP PARIBAS ARBITRAGE - ODI	Click here to go back
					Total
No. of fully paid up equity shares held (IV)	17903859	3762138	4681308	4952384	31299689
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	17903859	3762138	4681308	4952384	31299689
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.58	1.17	1.46	1.54	9.76
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	17903859	3762138	4681308	4952384	31299689
Class eg:y					
Total	17903859	3762138	4681308	4952384	31299689
Total as a % of Total Voting rights	5.58	1.17	1.46	1.54	9.76
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	5.58	1.17	1.46	1.54	9.76
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	17903859	3762138	4681308	4952384	31299689
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					

Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

Insurance Companies

Serial No.	1	2	
Name of the Shareholders (I)	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	HDFC ERGO GENERAL INSURANCE COMPANY LIMITED	Click here to go back
			Total
No. of fully paid up equity shares held (IV)	11262326	3891513	15153839
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	11262326	3891513	15153839
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.51	1.21	4.72
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	11262326	3891513	15153839
Class eg:y			
Total	11262326	3891513	15153839
Total as a % of Total Voting rights	3.51	1.21	4.72
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.51	1.21	4.72
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	11262326	3891513	15153839
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			

Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

Any Other (specify)

Serial No.	1	2	3	
Category	Clearing Members	HUF	Trusts	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				Click here to go back
PAN (II)				Total
No. of the Shareholders (I)	45	1197	6	1248
No. of fully paid up equity shares held (IV)	112356	1666965	392365	2171686
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	112356	1666965	392365	2171686
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.04	0.52	0.12	0.68
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	112356	1666965	392365	2171686
Class eg:y				
Total	112356	1666965	392365	2171686
Total as a % of Total Voting rights	0.04	0.52	0.12	0.68
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.04	0.52	0.12	0.68
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	112356	1666965	392365	2171686

Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0