

General information about company

Scrip code	543243
NSE Symbol	EQUITASBNK
MSEI Symbol	NOTLISTED
ISIN	INE063P01018
Name of the company	EQUITAS SMALL FINANCE BANK LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	933943363			933943363	81.36	933943363		933943363	81.36
(B)	Public	113404	213945926			213945926	18.64	213945926		213945926	18.64
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	113405	1147889289			1147889289	100	1147889289		1147889289	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				81.36	227651000	24.38			933943363
(B)	Public				18.64					213945811
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	227651000	19.83			1147889174

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	933943363			933943363	81.36	933943363		933943363	81.36
Sub-Total (A)(1)		1	933943363			933943363	81.36	933943363		933943363	81.36
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	933943363			933943363	81.36	933943363		933943363	81.36
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	9	119852194			119852194	10.44	119852194		119852194	10.44
(c)	Alternate Investment Funds	4	11159259			11159259	0.97	11159259		11159259	0.97
(e)	Foreign Portfolio Investors	23	8511686			8511686	0.74	8511686		8511686	0.74
(i)	Any Other (specify)	3	15627248			15627248	1.36	15627248		15627248	1.36
Sub-Total (B)(1)		39	155150387			155150387	13.52	155150387		155150387	13.52
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	111343	43287130			43287130	3.77	43287130		43287130	3.77
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	177	12029129			12029129	1.05	12029129		12029129	1.05
(e)	Any Other (specify)	1845	3479280			3479280	0.3	3479280		3479280	0.3
Sub-Total (B)(3)		113365	58795539			58795539	5.12	58795539		58795539	5.12
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		113404	213945926			213945926	18.64	213945926		213945926	18.64
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		113405	1147889289			1147889289	100	1147889289		1147889289	100
Total (A+B+C)		113405	1147889289			1147889289	100	1147889289		1147889289	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				81.36	227651000	24.38			933943363
Sub-Total (A)(1)				81.36	227651000	24.38			933943363
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				81.36	227651000	24.38			933943363
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				10.44					119852194
(c)				0.97					11159259
(e)				0.74					8511686
(i)				1.36					15627248
Sub-Total (B)(1)				13.52					155150387
(3)	Non-institutions								
(a(i))				3.77					43287015
(a(ii))				1.05					12029129
(e)				0.3					3479280
Sub-Total (B)(3)				5.12					58795424
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				18.64					213945811
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100	227651000	19.83			1147889174
Total (A+B+C)				100	227651000	19.83			1147889174
Disclosure of notes in case of public share holding is less than 25 percentage								Textual Information(1)	

Text Block

Textual Information()

Pursuant to Rule 19(2)(b)(ii) of the Securities Contracts (Regulation) Rules, 1957, the bank will increase its public shareholding to at least 25%, within a period of 3 years from the date of listing of the securities, in the manner specified by the SEBI. A QIP process was approved by the shareholders (29.11.21) of the Bank for achieving the MPS requirement. Also Voting rights of the promoter are capped at 26% of paid up voting equity share capital of the Bank.

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	EQUITAS HOLDINGS LIMITED	
PAN (II)		Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	933943363	933943363
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	933943363	933943363
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	81.36	81.36
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	933943363	933943363
Class eg:y		
Total	933943363	933943363
Total as a % of Total Voting rights	81.36	81.36
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	81.36	81.36
Number of Locked in shares (XII)		
No. (a)	227651000	227651000
As a % of total Shares held (b)	24.38	24.38
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		

Number of equity shares held in dematerialized form (XIV)	933943363	933943363
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Mutual Funds						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	HDFC SMALL CAP FUND	INVESCO INDIA CONTRA FUND	NIPPON LIFE INDIA TRUSTEE LTD-A/C NIPPON INDIA NIFTY SMALL CAP 250 INDEX FUND	FRANKLIN INDIA SMALLER COMPANIES FUND	MIRAE ASSET TAX SAVER FUND	Click here to go back
PAN						Total
No. of fully paid up equity shares held (IV)	37230934	12519177	15465728	14244569	25979021	105439429
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	37230934	12519177	15465728	14244569	25979021	105439429
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.24	1.09	1.35	1.24	2.26	9.19
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	37230934	12519177	15465728	14244569	25979021	105439429
Class eg:y						
Total	37230934	12519177	15465728	14244569	25979021	105439429
Total as a % of Total Voting rights	3.24	1.09	1.35	1.24	2.26	9.19
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.24	1.09	1.35	1.24	2.26	9.19
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	37230934	12519177	15465728	14244569	25979021	105439429
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)		
Serial No.	1	
Category	Other	
Category / More than 1 percentage	More than 1 percentage of shareholding	Click here to go back
Name of the Shareholders (I)	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	
PAN (II)		Total
No. of the Shareholders (I)	1	0
No. of fully paid up equity shares held (IV)	13181511	0
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	13181511	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.15	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	13181511	0
Class eg:y		
Total	13181511	0
Total as a % of Total Voting rights	1.15	0
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.15	0
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	13181511	0
Reason for not providing PAN		

Reason for not providing PAN		
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Any Other (specify)						
Serial No.	1	2	3	4	5	
Category	Trusts	Non-Resident Indian (NRI)	Clearing Members	Others	Bodies Corporate	
Category / More than 1 percentage	Category	Category	Category	Category	Category	
Name of the Shareholders (I)				NON RESIDENT INDIAN NON REPATRIABLE		Click here to go back
PAN (II)						Total
No. of the Shareholders (I)	2	1232	47	448	116	1845
No. of fully paid up equity shares held (IV)	279500	1219605	336350	491510	1152315	3479280
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	279500	1219605	336350	491510	1152315	3479280
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.11	0.03	0.04	0.1	0.3
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	279500	1219605	336350	491510	1152315	3479280
Class eg:y						
Total	279500	1219605	336350	491510	1152315	3479280
Total as a % of Total Voting rights	0.02	0.11	0.03	0.04	0.1	0.3
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.02	0.11	0.03	0.04	0.1	0.3
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	279500	1219605	336350	491510	1152315	3479280

Reason for not providing PAN						
Reason for not providing PAN						

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence