

General information about company

Scrip code	543243
NSE Symbol	EQUITASBNK
MSEI Symbol	NOTLISTED
ISIN	INE063P01018
Name of the company	EQUITAS SMALL FINANCE BANK LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	6	933943363			933943363	81.98	933943363		933943363	81.98
(B)	Public	76296	205334887			205334887	18.02	205334887		205334887	18.02
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	76302	1139278250			1139278250	100	1139278250		1139278250	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				81.98	933943363	100			933943363
(B)	Public				18.02	6852694	3.34			205113979
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	940796057	82.58			1139057342

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	6	933943363			933943363	81.98	933943363		933943363	81.98
Sub-Total (A)(1)		6	933943363			933943363	81.98	933943363		933943363	81.98
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		6	933943363			933943363	81.98	933943363		933943363	81.98
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	7	66855123			66855123	5.87	66855123		66855123	5.87
(c)	Alternate Investment Funds	11	55304783			55304783	4.85	55304783		55304783	4.85
(e)	Foreign Portfolio Investors	6	11642445			11642445	1.02	11642445		11642445	1.02
(i)	Any Other (specify)	4	23342371			23342371	2.05	23342371		23342371	2.05
Sub-Total (B)(1)		28	157144722			157144722	13.79	157144722		157144722	13.79
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	75056	36305801			36305801	3.19	36523337		36523337	3.21
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	97	7713257			7713257	0.68	7495721		7495721	0.66
(e)	Any Other (specify)	1115	4171107			4171107	0.37	4171107		4171107	0.37
Sub-Total (B)(3)		76268	48190165			48190165	4.23	48190165		48190165	4.23
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		76296	205334887			205334887	18.02	205334887		205334887	18.02
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		76302	1139278250			1139278250	100	1139278250		1139278250	100
Total (A+B+C)		76302	1139278250			1139278250	100	1139278250		1139278250	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				81.98	933943363	100			933943363
Sub-Total (A)(1)				81.98	933943363	100			933943363
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				81.98	933943363	100			933943363
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				5.87	0	0			66855123
(c)				4.85	6852694	12.39			55304783
(e)				1.02	0	0			11642445
(i)				2.05	0	0			23342371
Sub-Total (B)(1)				13.79	6852694	4.36			157144722
(3)	Non-institutions								
(a(i))				3.19	0	0			36125685
(a(ii))				0.68	0	0			7672465
(e)				0.37	0	0			4171107
Sub-Total (B)(3)				4.23	0	0			47969257
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				18.02	6852694	3.34			205113979
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100	940796057	82.58			1139057342
Total (A+B+C)				100	940796057	82.58			1139057342
Disclosure of notes on shareholding pattern								Textual Information(1)	
Disclosure of notes in case of public share holding is less than 25 percentage								Textual Information(1)	
Disclosure of notes on shareholding pattern for company remarks explanatory								Textual Information(1)	

Text Block

Textual Information()	Five Individuals together (S BHASKAR, JOHN ALEX, N SRIDHARAN,HKN RAGHAVAN & ARCOT SRAVANA KUMAR) hold 14 shares as Nominee of the promoter Equitas Holdings Limited.
Textual Information()	Pursuant to Rule 19(2)(b)(ii) of the Securities Contracts (Regulation) Rules, 1957, the bank will increase its public shareholding to at least twenty five per cent, within a period of three years from the date of listing of the securities, in the manner specified by the Securities and Exchange Board of India.
Textual Information()	57 shareholders representing 220793 shares were allotted by the company on 20th March 2021 and admitted for listing w.e.f 6th April, 2021, these shares are shown as physical shares as on reporting date.

Any Other (specify)						
Serial No.	1	2	3	4	5	6
Category	Bodies Corporate	Other	Other	Other	Other	Other
Name of the Shareholders (I)	EQUITAS HOLDINGS LIMITED	S BHASKAR	JOHN ALEX	N SRIDHARAN	H K N RAGHAVAN	ARCOT SRAVANA KUMAR
PAN (II)						
No. of the Shareholders (I)	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	933943349	10	1	1	1	1
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	933943349	10	1	1	1	1
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	81.98	0	0	0	0	0
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	933943349	10	1	1	1	1
Class eg:y						
Total	933943349	10	1	1	1	1
Total as a % of Total Voting rights	81.98	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	81.98	0	0	0	0	0
Number of Locked in shares (XII)						
No. (a)	933943349	10	1	1	1	1
As a % of total Shares held (b)	100	100	100	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						

Number of equity shares held in dematerialized form (XIV)	933943349	10	1	1	1	1
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter	Promoter	Promoter	Promoter

Any Other (specify)

Serial No.	
Category	Click here to go back
Name of the Shareholders (I)	
PAN (II)	Total
No. of the Shareholders (I)	6
No. of fully paid up equity shares held (IV)	933943363
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	933943363
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	81.98
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	933943363
Class eg:y	
Total	933943363
Total as a % of Total Voting rights	81.98
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	81.98
Number of Locked in shares (XII)	
No. (a)	933943363
As a % of total Shares held (b)	100
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity	933943363

shares held in dematerialized form (XIV)	
Reason for not providing PAN	
Reason for not providing PAN	
Shareholder type	

Mutual Funds					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	HDFC TRUSTEE COMPANY LTD. A/C HDFC CAPITAL BUILDERVALUE FUND	ITPL - INVESCO INDIA CONTRA FUND	FRANKLIN INDIA SMALLER COMPANIES FUND	MIRAE ASSET TAX SAVER FUND	Click here to go back
PAN (II)					Total
No. of fully paid up equity shares held (IV)	12661459	11675805	14244569	13951577	52533410
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12661459	11675805	14244569	13951577	52533410
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.11	1.02	1.25	1.22	4.61
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	12661459	11675805	14244569	13951577	52533410
Class eg:y					
Total	12661459	11675805	14244569	13951577	52533410
Total as a % of Total Voting rights	1.11	1.02	1.25	1.22	4.61
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.11	1.02	1.25	1.22	4.61
Number of Locked in shares (XII)					
No. (a)	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	12661459	11675805	14244569	13951577	52533410
Reason for not providing PAN					
Reason for not providing PAN					

Alternate Investment Funds		
Serial No.	1	
Name of the Shareholders (I)	IIFL SPECIAL OPPORTUNITIES FUND - SERIES 4	Click here to go back
PAN (II)		Total
No. of fully paid up equity shares held (IV)	12589220	12589220
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12589220	12589220
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.11	1.11
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	12589220	12589220
Class eg:y		
Total	12589220	12589220
Total as a % of Total Voting rights	1.11	1.11
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.11	1.11
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	12589220	12589220
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)		
Serial No.	1	
Category	Other	
Category / More than 1 percentage	More than 1 percentage of shareholding	Click here to go back
Name of the Shareholders (I)	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	
PAN (II)		Total
No. of the Shareholders (I)	1	0
No. of fully paid up equity shares held (IV)	14548412	0
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	14548412	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.28	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	14548412	0
Class eg:y		
Total	14548412	0
Total as a % of Total Voting rights	1.28	0
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.28	0
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	14548412	0
Reason for not providing PAN		

Reason for not providing PAN		
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Any Other (specify)

Serial No.	1	2	3	4	
Category	Trusts	Non-Resident Indian (NRI)	Clearing Members	Bodies Corporate	
Category / More than 1 percentage	Category	Category	Category	Category	
Name of the Shareholders (I)					Click here to go back
PAN (II)					Total
No. of the Shareholders (I)	1	681	107	61	850
No. of fully paid up equity shares held (IV)	277000	807703	875487	1895681	3855871
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	277000	807703	875487	1895681	3855871
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.07	0.08	0.17	0.34
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	277000	807703	875487	1895681	3855871
Class eg:y					
Total	277000	807703	875487	1895681	3855871
Total as a % of Total Voting rights	0.02	0.07	0.08	0.17	0.34
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.02	0.07	0.08	0.17	0.34
Number of Locked in shares (XII)					
No. (a)	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	277000	807703	875487	1895681	3855871

Reason for not providing PAN					
Reason for not providing PAN					

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence