

**General information about company**

Scrip code	543243
NSE Symbol	EQUITASBNK
MSEI Symbol	NOTLISTED
ISIN	INE063P01018
Name of the company	EQUITAS SMALL FINANCE BANK LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

**Declaration**

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table VI - Statement showing foreign ownership limits**

<b>Particular</b>	<b>Approved limits (%)</b>	<b>Limits utilized (%)</b>
As on shareholding date	74	4.43
As on the end of previous 1st quarter	74	0.59
As on the end of previous 2nd quarter	74	0.9
As on the end of previous 3rd quarter	74	0.85
As on the end of previous 4th quarter	74	3.73

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	933943363			933943363	74.5	933943363		933943363	74.5
(B)	Public	135584	319619949			319619949	25.5	319619949		319619949	25.5
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	<b>Total</b>	<b>135585</b>	<b>1253563312</b>			<b>1253563312</b>	<b>100</b>	<b>1253563312</b>		<b>1253563312</b>	<b>100</b>

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				74.5	227651000	24.38			933943363			
(B)	Public				25.5	2359103	0.74			319619834	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100	230010103	18.35			1253563197	0	0	0

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	933943363			933943363	74.5	933943363		933943363	74.5
Sub-Total (A)(1)		1	933943363			933943363	74.5	933943363		933943363	74.5
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		1	933943363			933943363	74.5	933943363		933943363	74.5
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	11	165044548			165044548	13.17	165044548		165044548	13.17
(c)	Alternate Investment Funds	2	10602495			10602495	0.85	10602495		10602495	0.85
(e)	Insurance Companies	2	16815663			16815663	1.34	16815663		16815663	1.34
Sub-Total (B)(1)		15	192462706			192462706	15.35	192462706		192462706	15.35
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	58	53458935			53458935	4.26	53458935		53458935	4.26
Sub-Total (B)(2)		58	53458935			53458935	4.26	53458935		53458935	4.26
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(c)	Key Managerial Personnel	2	185933			185933	0.01	185933		185933	0.01
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	131744	52543197			52543197	4.19	52543197		52543197	4.19
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	211	14429019			14429019	1.15	14429019		14429019	1.15
(i)	Non Resident Indians (NRIs)	2170	2933614			2933614	0.23	2933614		2933614	0.23
(l)	Bodies Corporate	166	1541696			1541696	0.12	1541696		1541696	0.12
(m)	Any Other (specify)	1218	2064849			2064849	0.16	2064849		2064849	0.16
Sub-Total (B)(4)		135511	73698308			73698308	5.88	73698308		73698308	5.88
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		135584	319619949			319619949	25.5	319619949		319619949	25.5
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		135585	1253563312			1253563312	100	1253563312		1253563312	100
Total (A+B+C )		135585	1253563312			1253563312	100	1253563312		1253563312	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(d)				74.5	227651000	24.38			933943363			
Sub-Total (A) (1)				74.5	227651000	24.38			933943363			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)				74.5	227651000	24.38			933943363			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				13.17	2000000	1.21			165044548	0	0	0
(c)				0.85	0	0			10602495	0	0	0
(e)				1.34	0	0			16815663	0	0	0
Sub-Total (B) (1)				15.35	2000000	1.04			192462706	0	0	0
(2)	Institutions (Foreign)											
(d)				4.26	0	0			53458935	0	0	0
Sub-Total (B) (2)				4.26	0	0			53458935	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(c)				0.01	0	0			185933	0	0	0
(g)				4.19	0	0			52543082	0	0	0
(h)				1.15	0	0			14429019	0	0	0
(i)				0.23	875	0.03			2933614	0	0	0
(l)				0.12	358228	23.24			1541696	0	0	0
(m)				0.16	0	0			2064849	0	0	0
Sub-Total (B) (4)				5.88	359103	0.49			73698193	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				25.5	2359103	0.74			319619834	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100	230010103	18.35			1253563197			
Total (A+B+C)				100	230010103	18.35			1253563197			

**Any Other (specify)**

Serial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	EQUITAS HOLDINGS LIMITED	
PAN (II)		Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	933943363	933943363
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	933943363	933943363
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	74.5	74.5
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	933943363	933943363
Class eg:y		
Total	933943363	933943363
Total as a % of Total Voting rights	74.5	74.5
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	74.5	74.5
<b>Number of Locked in shares (XII)</b>		
No. (a)	227651000	227651000
As a % of total Shares held (b)	24.38	24.38
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>		
No. (a)		
As a % of total Shares held (b)		
Number of equity	933943363	933943363



shares held in dematerialized form (XIV)		
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

**Mutual Funds**

Searial No.	1	2	3	4	
Name of the Shareholders (I)	HDFC TRUSTEE COMPANY LTD. A/C HDFC CAPITAL BUILDER	NIPPON LIFE INDIA TRUSTEE LTD-A/C NIPPON INDIA SMA	FRANKLIN INDIA SMALLER COMPANIES FUND	SBI LARGE & MIDCAP FUND	<a href="#">Click here to go back</a>
PAN (II)					Total
No. of fully paid up equity shares held (IV)	42927765	48425679	14244569	38767791	144365804
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	42927765	48425679	14244569	38767791	144365804
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.42	3.86	1.14	3.09	11.52
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	42927765	48425679	14244569	38767791	144365804
Class eg:y					
Total	42927765	48425679	14244569	38767791	144365804
Total as a % of Total Voting rights	3.42	3.86	1.14	3.09	11.52
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.42	3.86	1.14	3.09	11.52
Number of Locked in shares (XII)					
No. (a)	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	42927765	48425679	14244569	38767791	144365804
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					

Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

### Foreign Portfolio Investors Category I

Serial No.	1	
Name of the Shareholders (I)	GOVERNMENT OF SINGAPORE	<a href="#">Click here to go back</a>
PAN (II)		Total
No. of fully paid up equity shares held (IV)	32179944	32179944
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	32179944	32179944
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.57	2.57
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	32179944	32179944
Class eg:y		
Total	32179944	32179944
Total as a % of Total Voting rights	2.57	2.57
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.57	2.57
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	32179944	32179944
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		

Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

### Insurance Companies

Serial No.	1	
Name of the Shareholders (I)	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	<a href="#">Click here to go back</a>
PAN (II)		Total
No. of fully paid up equity shares held (IV)	12934911	12934911
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12934911	12934911
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.03	1.03
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	12934911	12934911
Class eg:y		
Total	12934911	12934911
Total as a % of Total Voting rights	1.03	1.03
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.03	1.03
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	12934911	12934911
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		

Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

**Any Other (specify)**

Serial No.	1	2	3	
Category	Clearing Members	HUF	Trusts	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				<a href="#">Click here to go back</a>
PAN (II)				Total
No. of the Shareholders (I)	52	1163	3	1218
No. of fully paid up equity shares held (IV)	154550	1630779	279520	2064849
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	154550	1630779	279520	2064849
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	0.13	0.02	0.16
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	154550	1630779	279520	2064849
Class eg:y				
Total	154550	1630779	279520	2064849
Total as a % of Total Voting rights	0.01	0.13	0.02	0.16
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.01	0.13	0.02	0.16
<b>Number of Locked in shares (XII)</b>				
No. (a)	0	0	0	0
As a % of total Shares held (b)	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	154550	1630779	279520	2064849



Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0