

General information about company

Scrip code	543243
NSE Symbol	EQUITASBNK
MSEI Symbol	NOTLISTED
ISIN	INE063P01018
Name of the company	EQUITAS SMALL FINANCE BANK LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	6	933943363			933943363	81.55	933943363		933943363	81.55
(B)	Public	103968	211247806			211247806	18.45	211247806		211247806	18.45
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	103974	1145191169			1145191169	100	1145191169		1145191169	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				81.55	933943363	100			933943363
(B)	Public				18.45	6852694	3.24			210638479
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	940796057	82.15			1144581842

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	6	933943363			933943363	81.55	933943363		933943363	81.55
Sub-Total (A)(1)		6	933943363			933943363	81.55	933943363		933943363	81.55
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		6	933943363			933943363	81.55	933943363		933943363	81.55
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	9	111719630			111719630	9.76	111719630		111719630	9.76
(c)	Alternate Investment Funds	6	20162254			20162254	1.76	20162254		20162254	1.76

Total (A+B+C)		103974	1145191169			1145191169	100		1145191169		1145191169	100
------------------	--	--------	------------	--	--	------------	-----	--	------------	--	------------	-----

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				81.55	933943363	100			933943363
Sub-Total (A)(1)				81.55	933943363	100			933943363
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)				81.55	933943363	100			933943363
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				9.76	0	0			111719630
(c)				1.76	6852694	33.99			20162254
(e)				0.8	0	0			9172879

(i)				1.32	0	0			15064698
Sub-Total (B)(1)				13.63	6852694	4.39			156119461
(3)	Non-institutions								
(a(i))				3.69	0	0			41616363
(a(ii))				0.84	0	0			9661984
(e)				0.28	0	0			3240671
Sub-Total (B)(3)				4.81	0	0			54519018
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)				18.45	6852694	3.24			210638479
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100	940796057	82.15			1144581842
Total (A+B+C)				100	940796057	82.15			1144581842
Disclosure of notes on shareholding pattern								Textual Information(1)	
Disclosure of notes in case of public share holding is less than 25 percentage								Textual Information(1)	

Text Block

Textual Information()	Shares are held by promoter group are not in individual capacity, but in the capacity of "Nominee of Equitas Holdings Limited"
Textual Information()	Pursuant to Rule 19(2)(b)(ii) of the Securities Contracts (Regulation) Rules, 1957, the bank will increase its public shareholding to at least twenty five per cent, within a period of three years from the date of listing of the securities, in the manner specified by the Securities and Exchange Board of India.

Any Other (specify)

Serial No.	1	2	3	4	5	6
Category	Bodies Corporate	Other	Other	Other	Other	Other
Name of the Shareholders (I)	EQUITAS HOLDINGS LIMITED	S BHASKAR	JOHN ALEX	N SRIDHARAN	H K N RAGHAVAN	ARCOT SRAVANA KUMAR
PAN (II)						
No. of the Shareholders (I)	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	933943349	10	1	1	1	1
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	933943349	10	1	1	1	1
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	81.55	0	0	0	0	0
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	933943349	10	1	1	1	1

Class eg:y						
Total	933943349	10	1	1	1	1
Total as a % of Total Voting rights	81.55	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	81.55	0	0	0	0	0
Number of Locked in shares (XII)						
No. (a)	933943349	10	1	1	1	1
As a % of total Shares held (b)	100	100	100	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						

As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	933943349	10	1	1	1	1
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group

Any Other (specify)

Serial No.	
Category	Click here to go back
Name of the Shareholders (I)	
PAN (II)	Total
No. of the Shareholders (I)	6
No. of fully paid up equity shares held (IV)	933943363
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	933943363
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	81.55
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	933943363
Class eg:y	

Total	933943363
Total as a % of Total Voting rights	81.55
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	81.55
Number of Locked in shares (XII)	
No. (a)	933943363
As a % of total Shares held (b)	100
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total	

Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	933943363
Reason for not providing PAN	
Reason for not providing PAN	
Shareholder type	

Mutual Funds

Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	HDFC SMALL CAP FUND	INVESCO INDIA CONTRA FUND	NIPPON LIFE INDIA TRUSTEE LTD- A/C NIPPON INDIA BANKING & FINANCIAL SERVICES FUND	FRANKLIN INDIA SMALLER COMPANIES FUND	MIRAE ASSET LARGE CAP FUND	Click here to go back
PAN (II)						Total
No. of fully paid up equity shares held (IV)	37230934	12519177	11894399	14244569	21522277	97411356
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	37230934	12519177	11894399	14244569	21522277	97411356
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.25	1.09	1.04	1.24	1.88	8.51
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	37230934	12519177	11894399	14244569	21522277	97411356
Class eg:y						
Total	37230934	12519177	11894399	14244569	21522277	97411356

Total as a % of Total Voting rights	3.25	1.09	1.04	1.24	1.88	8.51
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.25	1.09	1.04	1.24	1.88	8.51
Number of Locked in shares (XII)						
No. (a)	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	37230934	12519177	11894399	14244569	21522277	97411356
Reason for not providing PAN						

Reason for not providing PAN						
------------------------------	--	--	--	--	--	--

Any Other (specify)

Serial No.	1	
Category	Other	
Category / More than 1 percentage	More than 1 percentage of shareholding	Click here to go back
Name of the Shareholders (I)	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	
PAN (II)		Total
No. of the Shareholders (I)	1	0
No. of fully paid up equity shares held (IV)	13575641	0
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	13575641	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.19	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	13575641	0

Class eg:y		
Total	13575641	0
Total as a % of Total Voting rights	1.19	0
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.19	0
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	13575641	0

Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)

Serial No.	1	2	3	4	5	
Category	Trusts	Non-Resident Indian (NRI)	Clearing Members	Others	Bodies Corporate	
Category / More than 1 percentage	Category	Category	Category	Category	Category	
Name of the Shareholders (I)				NON RESIDENT INDIAN NON REPATRIABLE		Click here to go back
PAN (II)						Total
No. of the Shareholders (I)	1	1037	81	361	97	1577
No. of fully paid up equity shares held (IV)	277000	1124372	501527	398780	938992	3240671
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	277000	1124372	501527	398780	938992	3240671
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0.1	0.04	0.03	0.08	0.27
Number of Voting Rights held in each class of securities (IX)						

Class eg: X	277000	1124372	501527	398780	938992	3240671
Class eg:y						
Total	277000	1124372	501527	398780	938992	3240671
Total as a % of Total Voting rights	0.02	0.1	0.04	0.03	0.08	0.27
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.02	0.1	0.04	0.03	0.08	0.27
Number of Locked in shares (XII)						
No. (a)	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0
Number of equity	277000	1124372	501527	398780	938992	3240671

shares held in dematerialized form (XIV)						
Reason for not providing PAN						
Reason for not providing PAN						

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence