General information about company	General information about company									
Scrip code	543243									
NSE Symbol	EQUITASBNK									
MSEI Symbol	NOTLISTED									
ISIN	INE063P01018									
Name of the company	Equitas Small Finance Bank Limited									
Whether company is SME	No									
Class of Security	Equity Shares									
Type of report	Pre-listing									
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	02-11-2020									
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	28-10-2020									
Shareholding pattern filed under	Regulation 31 (1) (a)									
Whether the listed entity is Public Sector Undertaking (PSU)?	No									

	Declara	ation			
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

		Та	ble I - Sum	mary	Statemen	t holding o	of specified s	securities					
	Category		No. of fully	No. Of Partly	No. Of shares	Total nos.	Shareholding as a % of total no. of shares	Number of V of securities (ghts held in ea	ach class		
Category (I)	of shareholder	Nos. Of shareholders (III)	paid up equity shares held	paid- up equity	underlying Depository	shares held (VII) = (calculated (VV) + (V) + (calculated as per N	(VII) =	(VII) =	shares held (VII) = (calculated (IV)+(V)+ as per	No of Voting	(XIV) I	Rights	Total as a
	(II)	()	(IV)	shares held (V)	Receipts (VI)	(VI)	SCRR, 1957) (VIII) As a % of (A+B+C2)	Class eg: X	Class eg:y	Total	% of (A+B+C)		
(A)	Promoter & Promoter Group	6	933943363			933943363	82.05	933943363		933943363	82.05		
(B)	Public	119254	204306723			204306723	17.95	204306723		204306723	17.95		
(C)	Non Promoter- Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total	119260	1138250086			1138250086	100	1138250086		1138250086	100		

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		Table	e I - Summ	ary Stateme	nt holding of sp	ecified secu	rities			
Category (I) s	Category Shares Underlying Underlying		No. of Shares Underlying	No. Of Shares Underlying Outstanding	Shareholding , as a % assuming full conversion of convertible securities (as a	Number of L in shares (XI	Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in	
	shareholder (II)	Outstanding convertible securities (X)	Outstanding Warrants (Xi)	convertible securities and No. Of Warrants (Xi) (a)	securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	dematerialized form (XIV)
(A)	Promoter & Promoter Group				82.05	933943363	100			933943363
(B)	Public				17.95	89785510	43.95			204306723
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	1023728873	89.94			1138250086

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	Table II -	Statement	showing s	hareho	olding pat	tern of the	Promoter a	and Promo	ter G	roup	
				No. Of	No. Of		Shareholding as a % of total no. of	Number of V class of secur		ights held in ea ()	ach
Sr.	Category & Name of the Shareholders	Nos. Of shareholders	No. of fully paid up equity	Partly paid- up	shares underlying Depository	Total nos. shares held (VII) =	shares (calculated as per	No of Voting	(XIV)	Rights	Total as a %
	(I)	(III)	shares held (IV)	equity shares held (V)	Receipts (VI)	(IV)+(V)+ (VI)	SCRR, 1957) (VIII) As a % of (A+B+C2)	Class eg: X	Class eg:y	Total	of Total Voting rights
А	Table II - Stat	ement showing	shareholding	pattern o	of the Promot	er and Promot	er Group				
(1)	Indian										
(d)	Any Other (specify)	6	933943363			933943363	82.05	933943363		933943363	82.05
Sub-Total (A)(1)		6	933943363			933943363	82.05	933943363		933943363	82.05
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		6	933943363			933943363	82.05	933943363		933943363	82.05
В	Table III - Sta	tement showin	g shareholding	, pattern	of the Public	shareholder			•		-
(1)	Institutions										
(a)	Mutual Funds	6	34971355			34971355	3.07	34971355		34971355	3.07
(c)	Alternate Investment Funds	10	52690450			52690450	4.63	52690450		52690450	4.63

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(e)	Foreign Portfolio Investors	7	14815956		14815956	1.3	14815956	14815956	1.3
(i)	Any Other (specify)	4	30335892		30335892	2.67	30335892	30335892	2.67
Sub-Total (B)(1)		27	132813653		132813653	11.67	132813653	132813653	11.67
(3)	Non-institutio	ns	· ·						
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	118361	68400109		68400109	6.01	68400109	68400109	6.01
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	26	2247750		2247750	0.2	2247750	2247750	0.2
(e)	Any Other (specify)	840	845211		845211	0.07	845211	845211	0.07
Sub-Total (B)(3)		119227	71493070		71493070	6.28	71493070	71493070	6.28
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)		119254	204306723		204306723	17.95	204306723	204306723	17.95
С	Table IV - Sta	tement showin	g shareholding pattern	of the Non P	romoter- Non]	Public sharehol	der		•
Total (A+B+C2)		119260	1138250086		1138250086	100	1138250086	1138250086	100

Total	1	119260	1138250086		1138250086	100	1138250086	1138250086	100
(A+B+C)									

Т	Table II - Sta	atement sho	owing sharel	olding pattern	of the Pron	noter a	nd P	romoter Grou	ւթ	
	No. Of Shares	s Shares Outstanding Outstanding Outstanding		Number of						
Sr.	Underlying Outstanding convertible securities (X)	Underlying Outstanding Warrants (Xi)	convertible securities and No. Of Warrants (Xi) (a)	securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	equity shares held in dematerialized form (XIV)	
А	Table II - Stat	tement showin	g shareholding	pattern of the Promo	oter and Prom	oter Grou	ıp			
(1)	Indian									
(d)				82.05	933943363	100			933943363	
Sub-Total (A)(1)				82.05	933943363	100			933943363	
(2)	Foreign			-			-			
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)				82.05	933943363	100			933943363	
В	Table III - Sta	atement showing	ng shareholding	pattern of the Publi	ic shareholder					
(1)	Institutions	titutions								
(a)				3.07	21514500	61.52			34971355	
(c)				4.63	51245889	97.26			52690450	
(e)				1.3	6116671	41.28			14815956	

(i)		2.67	10908450	35.96		30335892
Sub-Total (B)(1)		11.67	89785510	67.6		132813653
(3)	Non-institutions	· · ·		<u> </u>		
(a(i))		6.01	0	0		68400109
(a(ii))		0.2	0	0		2247750
(e)		0.07	0	0		845211
Sub-Total (B)(3)		6.28	0	0		71493070
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)		17.95	89785510	43.95		204306723
С	Table IV - Statement	showing shareholding pattern of the	Non Promoter- Nor	n Public share	holder	
Total (A+B+C2)		100	1023728873	89.94		1138250086
Total (A+B+C)		100	1023728873	89.94		1138250086
Disclosure of	notes on shareholding	pattern	•		Textual Information(1)	
Disclosure of	notes in case of public	share holding is less than 25 percenta	age		Textual Information(1)	

	Text Block								
Textual Information()	10 Equity Shares are held by Bhaskar Srinivasan and one Equity Share each is held by Sridharan Nanuiyer, Arcot Sravanakumar, John Alex and Raghavan H.K.N as the nominees on behalf of EHL, which is the beneficial owner of such Equity Shares.								
Textual Information()	Pursuant to Rule 19(2)(b)(ii) of the Securities Contracts (Regulation) Rules, 1957, the bank will increase its public shareholding to at least twenty five per cent, within a period of three years from the date of listing of the securities, in the manner specified by the Securities and Exchange Board of India.								

Any Other (specify)										
Searial No.	1	2	3	4	5	6				
Category	Bodies Corporate	Other	Other	Other	Other	Other				
Name of the Shareholders (I)	EQUITAS HOLDINGS LIMITED	Bhaskar Srinivasan	John Alex	Sridharan Nanuiyer	Raghavan H.K.N	Arcot Sravanakumar				
PAN (II)										
No. of the Shareholders (I)	1	1	1	1	1	1				
No. of fully paid up equity shares held (IV)	933943349	10	1	1	1	1				
No. Of Partly paid- up equity shares held (V)										
No. Of shares underlying Depository Receipts (VI)										
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	933943349	10	1	1	1	1				
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	82.05	0	0	0	0	0				
Number of Voting	Rights held in each class of se	ecurities (IX)								
Class eg: X	933943349	10	1	1	1	1				

Class eg:y						
Total	933943349	10	1	1	1	1
Total as a % of Total Voting rights	82.05	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	82.05	0	0	0	0	0
Number of Locked	in shares (XII)	•				
No. (a)	933943349	10	1	1	1	1
As a % of total Shares held (b)	100	100	100	100	100	100
Number of Shares	pledged or otherwise encumbere	d (XIII)				
No. (a)						

As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	933943349	10	1	1	1	1
Reason for not pro-	Reason for not providing PAN					
Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter	Promoter	Promoter	Promoter

Any Other (specify)		
Searial No.		
Category	Click here to go back	
Name of the Shareholders (I)		
PAN (II)	Total	
No. of the Shareholders (I)	6	
No. of fully paid up equity shares held (IV)	933943363	
No. Of Partly paid- up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	933943363	
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	82.05	
Number of Voting	Rights held in each class of securities (IX)	
Class eg: X	933943363	
Class eg:y		

Total	933943363
Total as a % of Total Voting rights	82.05
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	82.05
Number of Locked	in shares (XII)
No. (a)	933943363
As a % of total Shares held (b)	100
Number of Shares	pledged or otherwise encumbered (XIII)
No. (a)	
As a % of total	

Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	933943363
Reason for not pro-	viding PAN
Reason for not providing PAN	
Shareholder type	

Alternate Investment Funds				
Searial No.	1			
Name of the Shareholders (I)	IIFL SPECIAL OPPORTUNITIES FUND - SERIES 4	Click here to go back		
PAN (II)		Total		
No. of fully paid up equity shares held (IV)	12738648	12738648		
No. Of Partly paid- up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	12738648	12738648		
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.12	1.12		
Number of Voting	Rights held in each class of securities (IX)			
Class eg: X	12738648	12738648		
Class eg:y				
Total	12738648	12738648		
Total as a % of Total Voting rights	1.12	1.12		

No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.12	1.12		
Number of Locked	in shares (XII)			
No. (a)	12738648	12738648		
As a % of total Shares held (b)	100	100		
Number of equity shares held in dematerialized form (XIV)	12738648	12738648		
Reason for not pro-	Reason for not providing PAN			
Reason for not providing PAN				

Any Other (specify)				
Searial No.	1			
Category	Other			
Category / More than 1 percentage	More than 1 percentage of shareholding	Click here to go back		
Name of the Shareholders (I)	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED			
PAN (II)		Total		
No. of the Shareholders (I)	1	0		
No. of fully paid up equity shares held (IV)	14548412	0		
No. Of Partly paid- up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	14548412	0		
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.28	0		
Number of Voting	Number of Voting Rights held in each class of securities (IX)			
Class eg: X	14548412	0		

Class eg:y		
Total	14548412	0
Total as a % of Total Voting rights	1.28	0
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.28	0
Number of Locked	in shares (XII)	
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	14548412	0

Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)				
Searial No.	1	2		
Category	Non-Resident Indian (NRI)	Bodies Corporate		
Category / More than 1 percentage	Category	Category		
Name of the Shareholders (I)			Click here to go back	
PAN (II)			Total	
No. of the Shareholders (I)	839	1	840	
No. of fully paid up equity shares held (IV)	838911	6300	845211	
No. Of Partly paid- up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	838911	6300	845211	
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.07	0	0.07	
Number of Voting	Rights held in each class of securities (I	X)		
Class eg: X	838911	6300	845211	

Class eg:y			
Total	838911	6300	845211
Total as a % of Total Voting rights	0.07	0	0.07
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.07	0	0.07
Number of Locked	in shares (XII)		
No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of equity shares held in	838911	6300	845211

dematerialized form (XIV)		
Reason for not pro-	viding PAN	
Reason for not providing PAN		

Sr. No.Details of the SBODetails of the registered ownerDetails of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:Date of creation / acquisition of significant beneficial interestNamePAN Passport No. in case of a foreign nationalNationalityNationality (Applicable in case of Any other is selected)NamePAN Passport No. in case of a foreign nationalNationality(Applicable in case of Any other is selected)NamePAN Passport No. in case of a foreign nationalNationality(Applicable in case of Any other is selected)Whether by virtue of:SharesVoting rightsRights on distributable dividend or any other distributionExercise of controlExercise of significant influence