

General information about company

Scrip code	543243
NSE Symbol	EQUITASBNK
MSEI Symbol	NOTLISTED
ISIN	INE063P01018
Name of the company	EQUITAS SMALL FINANCE BANK LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	74	4.34
As on the end of previous 1st quarter	74	0.9
As on the end of previous 2nd quarter	74	0.85
As on the end of previous 3rd quarter	74	3.73
As on the end of previous 4th quarter	74	4.22

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	933943363			933943363	74.48	933943363		933943363	74.48
(B)	Public	135011	320046756			320046756	25.52	320046756		320046756	25.52
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	135012	1253990119			1253990119	100	1253990119		1253990119	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				74.48	227651000	24.38			933943363			
(B)	Public				25.52	0	0			320046641	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100	227651000	18.15			1253990004	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	933943363			933943363	74.48	933943363		933943363	74.48
Sub-Total (A)(1)		1	933943363			933943363	74.48	933943363		933943363	74.48
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		1	933943363			933943363	74.48	933943363		933943363	74.48
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	11	167674973			167674973	13.37	167674973		167674973	13.37
(c)	Alternate Investment Funds	2	9591559			9591559	0.76	9591559		9591559	0.76
(e)	Insurance Companies	2	15143078			15143078	1.21	15143078		15143078	1.21
(i)	NBFCs registered with RBI	1	150000			150000	0.01	150000		150000	0.01
Sub-Total (B)(1)		16	192559610			192559610	15.36	192559610		192559610	15.36
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	59	50161629			50161629	4	50161629		50161629	4
(e)	Foreign Portfolio Investors Category II	4	1038574			1038574	0.08	1038574		1038574	0.08
Sub-Total (B)(2)		63	51200203			51200203	4.08	51200203		51200203	4.08
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(c)	Key Managerial Personnel	2	185933			185933	0.01	185933		185933	0.01
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	131103	54351433			54351433	4.33	54351433		54351433	4.33
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	231	14564588			14564588	1.16	14564588		14564588	1.16
(i)	Non Resident Indians (NRIs)	2123	3220437			3220437	0.26	3220437		3220437	0.26
(l)	Bodies Corporate	172	1759550			1759550	0.14	1759550		1759550	0.14
(m)	Any Other (specify)	1301	2205002			2205002	0.18	2205002		2205002	0.18
Sub-Total (B)(4)		134932	76286943			76286943	6.08	76286943		76286943	6.08
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		135011	320046756			320046756	25.52	320046756		320046756	25.52
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										

Total (A+B+C2)		135012	1253990119			1253990119	100		1253990119		1253990119	100
Total (A+B+C)		135012	1253990119			1253990119	100		1253990119		1253990119	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(d)				74.48	227651000	24.38			933943363			
Sub-Total (A) (1)				74.48	227651000	24.38			933943363			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)				74.48	227651000	24.38			933943363			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				13.37	0	0			167674973	0	0	0
(c)				0.76	0	0			9591559	0	0	0
(e)				1.21	0	0			15143078	0	0	0
(i)				0.01	0	0			150000	0	0	0
Sub-Total (B) (1)				15.36	0	0			192559610	0	0	0
(2)	Institutions (Foreign)											
(d)				4	0	0			50161629	0	0	0
(e)				0.08	0	0			1038574	0	0	0
Sub-Total (B) (2)				4.08	0	0			51200203	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(c)				0.01	0	0			185933	0	0	0
(g)				4.33	0	0			54351318	0	0	0
(h)				1.16	0	0			14564588	0	0	0
(i)				0.26	0	0			3220437	0	0	0
(l)				0.14	0	0			1759550	0	0	0
(m)				0.18	0	0			2205002	0	0	0
Sub-Total (B) (4)				6.08	0	0			76286828	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				25.52	0	0			320046641	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100	227651000	18.15			1253990004			
Total (A+B+C)				100	227651000	18.15			1253990004			

Any Other (specify)

Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	EQUITAS HOLDINGS LIMITED	
		Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	933943363	933943363
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	933943363	933943363
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	74.48	74.48
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	933943363	933943363
Class eg:y		
Total	933943363	933943363
Total as a % of Total Voting rights	74.48	74.48
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	74.48	74.48
Number of Locked in shares (XII)		
No. (a)	227651000	227651000
As a % of total Shares held (b)	24.38	24.38
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity	933943363	933943363

shares held in dematerialized form (XIV)		
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Mutual Funds

Serial No.	1	2	3	4	
Name of the Shareholders (I)	HDFC MUTUAL FUND	NIPPON LIFE INDIA TRUSTEE LTD-A/C NIPPON INDIA SMA	FRANKLIN INDIA MUTUAL FUND	SBI MUTUAL FUND	Click here to go back
					Total
No. of fully paid up equity shares held (IV)	43107527	49394698	14244569	39668496	146415290
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	43107527	49394698	14244569	39668496	146415290
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.44	3.94	1.14	3.16	11.68
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	43107527	49394698	14244569	39668496	146415290
Class eg:y					
Total	43107527	49394698	14244569	39668496	146415290
Total as a % of Total Voting rights	3.44	3.94	1.14	3.16	11.68
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.44	3.94	1.14	3.16	11.68
Number of Locked in shares (XII)					
No. (a)	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	43107527	49394698	14244569	39668496	146415290
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					

Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

Foreign Portfolio Investors Category I

Serial No.	1	
Name of the Shareholders (I)	GOVERNMENT OF SINGAPORE	Click here to go back
		Total
No. of fully paid up equity shares held (IV)	32179944	32179944
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	32179944	32179944
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.57	2.57
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	32179944	32179944
Class eg:y		
Total	32179944	32179944
Total as a % of Total Voting rights	2.57	2.57
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.57	2.57
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	32179944	32179944
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		

Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Any Other (specify)

Serial No.	1	2	3	4	
Category	Clearing Members	Bodies Corporate	HUF	Trusts	
Category / More than 1 percentage	Category	Category	Category	Category	
Name of the Shareholders (I)					Click here to go back
PAN (II)					Total
No. of the Shareholders (I)	50	1	1247	3	1301
No. of fully paid up equity shares held (IV)	202027	11	1723444	279520	2205002
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	202027	11	1723444	279520	2205002
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.02	0	0.14	0.02	0.18
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	202027	11	1723444	279520	2205002
Class eg:y					
Total	202027	11	1723444	279520	2205002
Total as a % of Total Voting rights	0.02	0	0.14	0.02	0.18
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.02	0	0.14	0.02	0.18
Number of Locked in shares (XII)					
No. (a)	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	202027	11	1723444	279520	2205002

Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

