

August 12, 2021

The Secretary BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai - 400 001	The Secretary National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex Mumbai - 400051
Scrip Code : 543243	Symbol : EQUITASBNK

Dear Sir/Madam,

Sub: Fifth Annual General Meeting ('AGM') outcome, scrutinizer report and voting results

In continuation to our letter dated July 19, 2021, we wish to inform that the Fifth Annual General Meeting ('AGM') of the Members of Equitas Small Finance Bank Limited ('the Bank') was held on Thursday, August 12, 2021 at 11.00 A.M (IST) through Video- Conferencing (VC) in compliance with the General Circular 02/2021 dated January 13th, 2021 issued by the Ministry of Corporate Affairs (MCA) and SEBI Circular dated January 15th, 2021 issued by Securities & Exchange Board of India (SEBI) and as per the applicable provisions of the Companies Act 2013, ('the Act') and the Rules made thereunder and SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015 ('Listing regulations') to transact the businesses mentioned in the Notice dated July 14, 2021.

As per the provisions of the Act and the Listing Regulations read with the aforesaid Circulars, the Bank had provided the facility of remote e-voting and e-voting during the AGM to the Members to enable them to cast their vote electronically on the Resolutions proposed in the Notice of the Fifth AGM. The Remote E-voting was open from Monday, August 9, 2021 from 9.00 a.m. (IST) to Wednesday, August 11, 2021 upto 5.00 p.m. (IST).

M/s B Ravi & Associates, Practising Company Secretaries represented by Dr B Ravi, acted as the Scrutinizer for both remote e- voting and e-voting during the AGM. He has carried out the scrutiny of all the remote electronic votes and e-votes cast during the AGM and has submitted his Report today, i.e. on 12th August, 2021.

Based on the consolidated Report of the Scrutinizer, all the resolutions as set out in the Notice of the Fifth AGM have been duly approved by the Members with requisite majority.

The AGM commenced at 11.00 A.M. and concluded at 12.00 Noon (including the time allowed for e-voting during the AGM).

In this regard, please find enclosed the following:



Equitas Small Finance Bank Limited

(Previously known as Equitas Finance Limited)

4th Floor, Phase II, Spencer Plaza, No.769, Mount Road, Anna Salai, Chennai, Tamil Nadu, India - 600 002

T: +91 44 4299 5000 | F: +91 44 4299 5050 | corporate@equitas.in | www.equitasbank.com

Toll Free: 1800 103 1222 | CIN No.: U65191TN1993PLC025280

2622569

- (1) Summary of proceedings of the Annual General Meeting as required under Regulation 30, Part-A of Schedule -III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations') as Annexure - I
- (2) Report of Scrutinizer dated August 12, 2021, pursuant to Section 108 of the Companies Act, 2013 and Rule 20 of the Companies (Management and Administration) Rules, 2014 as Annexure - II
- (3) Voting results as required under Regulation 44 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as Annexure -III.

This is for your information and records.

Thanking You,

Yours sincerely,

For Equitas Small Finance Bank Limited



Sampathkumar K R
Company Secretary



ANNEXURE - I : DETAILS OF THE PROCEEDINGS OF THE MEETING		
S. No	Particulars	Details
1	Date of the AGM	Thursday, August 12, 2021
2	Total number of shareholders as on record date	As of cut-off date i.e. August 05, 2021 - 1,09,422
3	No. of Shareholders attended the Meeting through Video Conferencing: <ul style="list-style-type: none"> • Promoters and Promoter Group: • Public: 	<p style="text-align: right;">2</p> <p style="text-align: right;">38</p>

Summary Proceedings Of The Fifth Annual General Meeting Of Equitas Small Finance Bank Limited On August 12, 2021 AT 11:00 AM

The Fifth Annual General Meeting (AGM) of the members of Equitas Small Finance Bank Limited (Bank) was held on Thursday, August 12, 2021 at 11.00 AM IST through Video Conferencing (VC) in compliance with the General Circular 02/2021 dated January 13th, 2021 issued by the Ministry of Corporate Affairs (MCA) and SEBI Circular dated January 15th, 2021 issued by Securities & Exchange Board of India (SEBI) and as per the applicable provisions of the Companies Act 2013, and the Rules made thereunder.

Directors Present Through VC

1. Mr. Arun Ramanathan, Independent Director and Chairman of the Board. (From Chennai, India)
2. Mr. Arun Kumar Verma, Independent Director and Chairman of Audit Committee of the Board. (From Bhubaneswar, India)
3. Mr. Sridhar Ganesh, Independent Director and the Chairman of Nomination and Remuneration Committee of the Board. (From Chennai, India)
4. Mr. Navin Puri, Independent Director and the Chairman of Stakeholders and Relationship Committee of the Board. (From Mumbai, India)
5. Ms. Tabassum Inamdar, Independent Director and the Chairperson of Corporate Social Responsibility Committee. (From Mumbai, India)
6. Mr. Vinod Kumar Sharma, Independent Director and the Chairman of the Risk Management Committee of the Board. (From Chennai, India)
7. Prof Balakrishnan, Independent Director and Chairman of IT strategy Committee. (From Bengaluru, India)
8. Mr N Srinivasan, Independent Director and Chairman of the Business Committee. (From Pune, India)
9. Mr. Ramesh Rangan, Independent Director and Chairman of the Credit Committee
10. (From Chennai, India)
11. Mr P N Vasudevan, MD & CEO (From Chennai, India)



Other Representatives present through VC

1. Mr. N. Sridharan, Chief Financial Officer (CFO)
2. Mr. Sampathkumar K R, Company Secretary (CS)
3. Mr. Sheshu Samudrala, Partner M/s T. R. Chadha & Co LLP, Chartered Accountants
4. M/s B Ravi & Associates represented by Dr. B. Ravi, Managing Partner (Secretarial Auditors)

A total of 40 members attended the AGM through VC. The meeting commenced at 11.00 A.M.

CS welcomed the Members and informed the Chairman that sufficient quorum was present.

Accordingly Chairman declared that the meeting was properly constituted and called the meeting to order.

Mr. Arun Ramanathan, Chairman of the Board welcomed the members to the Fifth Annual General Meeting of "Equitas Small Finance Bank Limited" and then introduced the Directors present at the AGM.

CS informed that the Statutory Registers and other requisite documents were available for inspection by Members electronically during the Meeting. He also informed that the proceedings were video recorded and that the transcript would be made available at the website of the Company.

Chairman informed that the Notice and Annual Report along with the unqualified report of the statutory Auditors for the FY 2020-21 was sent to all the members through electronic means and were made available at the website of the Bank. Considering this, Chairman announced that the Notice convening the AGM was taken as read.

Chairman then delivered his speech briefing the members about key performance metrics, hurdles faced and overcome and social initiatives of the Bank.

At the initiation of Chairman, Mr P N Vasudevan, MD & CEO of Equitas Small Finance Bank Limited briefed the members on the operations and performance of the Bank for the FY 2020-21 and quarter ended June 30, 2021.

Chairman invited queries from members who had registered themselves as speakers for AGM. Queries were raised on the need for additional features in Bank's mobile banking interface and the products offered by the Bank to which MD & CEO responded.

Chairman informed that pursuant to Section 108 of the Companies Act, 2013, and Regulation 44 of SEBI (LODR) Regulations, 2015, the Bank had provided the facility of e-voting commenced on Monday, August 09, 2021 (09:00 Hrs IST) and concluded on Wednesday, August 11, 2021 (17:00 Hrs IST), to cast their votes on the below mentioned items of business as proposed in the Notice of Fifth AGM circulated to the members. He also informed that the option to vote at the AGM was made available for the members who did not cast their votes through remote e-voting.



Item No.	Particulars of Resolution	Type of resolution (Ordinary/ Special)
1	Adoption of Audited Financial Statements of the Bank for the Financial Year ended on 31 st March 2021 and the reports of the Board of Directors and the Auditors thereon.	Ordinary
2	Appointment of a Director in the place of Mr P N Vasudevan (DIN 01550885), who retires by rotation and being eligible, offers himself for re-appointment	Ordinary
3	Revision of the term of office of M/s T. R. Chadha & Co LLP, Chartered Accountants, Chennai (Firm Registration No: 006711N/N500028) as Statutory Auditors of the Bank.	Ordinary
4	Appointment of M/s Varma & Varma, Chartered Accountants, Chennai (Firm Registration No: 004532S), as Joint Statutory Auditors of the Bank	Ordinary
	Special Business	
5	Appointment of Mr. Ramesh Rangan (DIN: 07586413) as Independent Director	Ordinary
6	Re-appointment of Mr. N Srinivasan (DIN: 01501266) as Independent Director	Special
7	Re-appointment of Prof. N. Balakrishnan (DIN: 00181842) as Independent Director	Special
8	Re-appointment of Mr. Arun Kumar Verma (DIN: 03220124) as Independent Director	Special
9	Re-appointment of Mr. Arun Ramanathan (DIN: 00308848) as Part-time Chairman and Non- Executive Independent Director	Special
10	Revision of remuneration payable to Mr. Vasudevan P N, (DIN: 01550885) as the Managing Director & CEO	Special
11	To approve the issue of securities/bonds/other permissible instruments, in one or more tranches	Special
12	To approve the charitable contribution	Ordinary

Chairman informed that M/s B Ravi & Associates, Practising Company Secretaries represented by its managing partner, Dr. B Ravi was the Scrutinizer for both e-voting and e-ballot process at AGM.

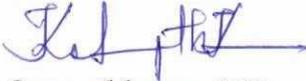


Shareholders then cast their votes through e-voting portal during the e-AGM. He further informed that based on the Scrutinizers report to be received after the e-voting at AGM, the results about passing of resolutions will be announced within 48 hours and posted in Bank's website <https://ir.equitasbank.com/shareholder-services/> and will also be communicated to the Stock Exchanges.

Chairman delivered the vote of thanks.

The Meeting concluded at 12.00 Noon.

For Equitas Small Finance Bank Limited



Sampathkumar K R
Company Secretary



B. RAVI & ASSOCIATES

Company Secretaries

"GURU NILAYAM"

42 (Old No.16) Rathinam Street,
Gopalapuram, Chennai 600 086.
Phone : 044-28350517 / 28351055
email : bravics@gmail.com

The Chairman
EQUITAS SMALL FINANCE BANK LIMITED
CIN: U65191TN1993PLC025280
4th Floor, Phase II, Spencer Plaza No.769,
Mount Road, Anna Salai,
Chennai -600002

Dear Sir,

Subject: Consolidated Scrutinizer's Report on remote e-voting and e-Voting during the AGM pursuant to the provisions of Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 and amendments thereto extended by M/s Central Depository Services (India) Limited at the 05th Annual General Meeting ("AGM") of Equitas Small Finance Bank Limited held on Thursday, 12th August 2021 at 11.00 AM through Video Conferencing ("VC") / Other Audio Visual Means ("OAVM").

At the outset, We would like to thank the Board of Directors of the Company for appointing us, B Ravi & Associates, Practicing Company Secretaries represented by Dr. B. Ravi, Managing Partner as the Scrutinizer for the remote e-Voting and e-Voting conducted during the 05th AGM of the members of your Company held on Thursday, 12th August 2021 at 11.00 AM through Video Conferencing ("VC") / Other Audio Visual Means ("OAVM").

We are pleased to submit the Consolidated Scrutinizer's report, which is comprehensive and self explanatory in all respects.

Place : Chennai

Date : 12.08.2021

Signature:

B. Ravi

Name of Company Secretary in practice: Dr. B Ravi

FCS No.: 1810 CP No.: 3318

Managing Partner

B RAVI & ASSOCIATES

Firm Registration Number: P2016TN052400



SCRUTINIZER'S REPORT

**[Pursuant to Section 108 of the Companies Act, 2013 and
The Companies (Management and Administration) Rules, 2014, as amended]**

1. Appointment as Scrutinizer:

We, B Ravi & Associates, Practicing Company Secretaries represented by Dr. B. Ravi, Managing Partner, have been appointed by the Board of Directors of **Equitas Small Finance Bank Limited** ("the Company") as Scrutinizer for the purpose of scrutinizing the remote e-Voting and e-Voting during the 05th Annual General Meeting (AGM) held on Thursday, 12th August 2021 at 11.00 AM and ascertaining the requisite majority, as per the provisions of Section 108 of the Companies Act, 2013 (hereinafter referred to as "Act") read with Rule 20 and Rule 21 of the Companies (Management and Administration) Rules, 2014 as amended from time to time and subject to Regulation 44 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

2. Dispatch of Notice convening the Meeting and Public Advertisement thereto:

- 2.1. The public advertisement informing the shareholders about the manner of registration was published in "Business Standard" and "Makkal Kural" on 15th July 2021.
- 2.2. The Company has informed that the Notice dated 14th July 2021 along with statement setting out material facts under Section 102 of the Act were sent to the Shareholders in respect of the resolutions passed at the AGM of the Company on 19.07.2021.
- 2.3. The Public Advertisement with respect to dispatch of Notices and conduct of voting through electronic means was published in an English newspaper "Business Standard" and vernacular newspaper "Makkal Kural" of wide circulation in their respective editions dated 21st July 2021.

3. Cut-off date:

The voting rights were reckoned as on **Thursday, August 05, 2021** and the members of the Company as on the "cut-off" date i.e **Thursday, August 05, 2021** were entitled to vote on the resolutions (items no. 01 to 12 as set out in the notice of the 05th AGM of the Company) either through remote e-Voting or e-Voting during the AGM.

B Ravi



4. Remote e-Voting:

4.1. **Agency:**The Company had engaged the services of M/s. Central Depository Services (India) Limited ("CDSL") as the agency to provide e-Voting facility to its Members.

4.2. **Remote e-Voting period:**The remote e-Voting period remained open from Monday, August 09, 2021(09:00 A.M. Indian Standard Time) to Wednesday, August 11, 2021 (05:00 P.M. Indian Standard Time).

5. Voting at the AGM:

The Company had also provided the facility for e-Voting to the shareholders who attended the AGM through VC/OAVM and who had not cast their vote earlier through remote e-Voting facility.

6. Results:

6.1. The Management of the Company is responsible to ensure the compliance with the requirements of the Companies Act, 2013 and the Rules relating to voting through electronic means on the resolutions, Regulation 44 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. Our responsibility as a Scrutinizer for the e-voting process is restricted to presenting a Scrutinizer's Report of the votes cast "in favour" or "against" or "abstained", in respect of the resolutions passed at the AGM, based on the reports generated from the e-voting system provided by CDSL, the authorized agency to provide e-Voting facilities, engaged by the Company.

6.2. The votes cast under remote e-Voting facility and E-voting conducted during the AGM were unblocked after the expiry of the period as stipulated in presence of two witnesses who were not in employment of the Company

6.3. Thereafter, the consolidated details containing inter alia, list of Equity Shareholders, who voted "for", "against" or "abstained", in respect of each of the resolutions that were put to vote, were generated from the e-voting website of CDSL and based on such reports generated. The results of the e-Voting are enclosed.



B. Ravi

6.4. Based on the aforesaid results, we report that **Six Ordinary Resolutions** as contained in Item No's. 01 to 05 and 12 and **Six Special Resolutions** as contained in Item No's. 06 to 11 of the Notice dated 14th July 2021 have been passed with requisite majority.

Note: EQUITAS HOLDINGS LIMITED ("Promoter") holds 81.75% of total equity capital of the Bank. However, their voting right is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of the Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

Place : Chennai

Date : 12.08.2021

Signature: *B. Ravi*

Name of Company Secretary in practice: Dr. B Ravi

FCS No.: 1810 CP No.: 3318

Managing Partner

B RAVI & ASSOCIATES

Firm Registration Number: P2016TN052400

UDIN: F001810C000775803



CONSOLIDATED RESULTS

I. ORDINARY BUSINESS

Resolution No. 1:

To adopt the Audited Financial Statements of the Bank for the Financial Year ended on March 31, 2021 and the reports of the Board of Directors and the Auditors thereon.

Voting Method	Total Valid Votes	Votes in favour of the resolution			Votes against the resolution			Invalid Votes	Abstained Votes
		No. of folios	No. of Shares	% of total number of valid votes cast	No. of folios	No. of Shares	% of total number of valid votes cast		
Remote e-voting and E-voting at the AGM	43,75,05,101	272	43,75,01,494	99.9991	2	3,607	0.0008	--	--
Total	43,75,05,101	272	43,75,01,494	99.9991	2	3,607	0.0008	--	--

Based on the aforesaid results, we report that the **Ordinary Resolution** as contained in Item No.1 of the AGM Notice dated 14th July 2021 has been passed with **requisite majority**.

Note: EQUITAS HOLDINGS LIMITED ("Promoter") holds 81.75% of total equity capital of the Bank. However, their voting right is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of the Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.



B. Ravi

Resolution No. 2:

To appoint a Director in place of Mr. Vasudevan P N, (DIN: 01550885), who retires by rotation and being eligible, offers himself for re-appointment

Voting Method	Total Valid Votes	Votes in favour of the resolution			Votes against the resolution			Invalid Votes	Abstained Votes
		No. of folios	No. of Shares	% of total number of valid votes cast	No. of folios	No. of Shares	% of total number of valid votes cast		
Remote e-voting and E-voting at the AGM	43,75,05,101	264	43,74,99,316	99.9987	10	5,785	0.0013	--	--
Total	43,75,05,101	264	43,74,99,316	99.9987	10	5,785	0.0013	--	--

Based on the aforesaid results, We report that the **Ordinary Resolution** as contained in Item No.2 of the AGM Notice dated 14th July 2021 has been passed with **requisite majority**.

Note: EQUITAS HOLDINGS LIMITED ("Promoter") holds 81.75% of total equity capital of the Bank. However, their voting right is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of the Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

B. Ran.



Resolution No. 3:

To revise the term of office of M/s T. R. Chadha & Co LLP, Chartered Accountants, Chennai (Firm Registration No: 006711N/N500028) as Statutory Auditors of the Bank.

Voting Method	Total Valid Votes	Votes in favour of the resolution			Votes against the resolution			Invalid Votes	Abstained Votes
		No. of folios	No. of Shares	% of total number of valid votes cast	No. of folios	No. of Shares	% of total number of valid votes cast		
Remote e-voting and E-voting at the AGM	43,75,05,101	268	43,75,01,280	99.9991	6	3,821	0.0009	--	--
Total	43,75,05,101	268	43,75,01,280	99.9991	6	3,821	0.0009	--	--

Based on the aforesaid results, We report that the **Ordinary Resolution** as contained in Item No.3 of the AGM Notice dated 14th July 2021 has been passed with **requisite majority**.

Note: EQUITAS HOLDINGS LIMITED ("Promoter") holds 81.75% of total equity capital of the Bank. However, their voting right is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of the Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

B. Ram



Resolution No. 4:
To appoint Joint Statutory Auditors

Voting Method	Total Valid Votes	Votes in favour of the resolution			Votes against the resolution			Invalid Votes	Abstained Votes
		No. of folios	No. of Shares	% of total number of valid votes cast	No. of folios	No. of Shares	% of total number of valid votes cast		
Remote e-voting and E-voting at the AGM	43,75,04,722	269	43,75,00,215	99.9990	4	4,507	0.0010	--	379
Total	43,75,04,722	269	43,75,00,215	99.9990	4	4,507	0.0010	--	379

Based on the aforesaid results, We report that the **Ordinary Resolution** as contained in Item No.4 of the AGM Notice dated 14th July 2021 has been passed with **requisite majority**.

Note: EQUITAS HOLDINGS LIMITED ("Promoter") holds 81.75% of total equity capital of the Bank. However, their voting right is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of the Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.



B. Ravi

II. SPECIAL BUSINESS

Resolution No. 5:

Appointment of Mr. Ramesh Rangan (DIN: 07586413) as Independent Director

Voting Method	Total Valid Votes	Votes in favour of the resolution			Votes against the resolution			Invalid Votes	Abstained Votes
		No. of folios	No. of Shares	% of total number of valid votes cast	No. of folios	No. of Shares	% of total number of valid votes cast		
Remote e-voting and E-voting at the AGM	43,75,05,101	270	43,75,01,387	99.9992	4	3,714	0.0008	--	--
Total	43,75,05,101	270	43,75,01,387	99.9992	4	3,714	0.0008	--	--

Based on the aforesaid results, We report that the **Ordinary Resolution** as contained in Item No.5 of the AGM Notice dated 14th July 2021 has been passed with **requisite majority**.

Note: EQUITAS HOLDINGS LIMITED ("Promoter") holds 81.75% of total equity capital of the Bank. However, their voting right is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of the Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

B. Ravi



Resolution No. 6:**Re-appointment of Mr. N Srinivasan (DIN: 01501266) as Independent Director**

Voting Method	Total Valid Votes	Votes in favour of the resolution			Votes against the resolution			Invalid Votes	Abstained Votes
		No. of folios	No. of Shares	% of total number of valid votes cast	No. of folios	No. of Shares	% of total number of valid votes cast		
Remote e-voting and E-voting at the AGM	43,75,05,101	260	43,69,93,991	99.8832	14	5,11,110	0.1168	--	--
Total	43,75,05,101	260	43,69,93,991	99.8832	14	5,11,110	0.1168	--	--

Based on the aforesaid results, We report that the **Special Resolution** as contained in Item No.6 of the AGM Notice dated 14th July 2021 has been passed with **requisite majority**.

Note: EQUITAS HOLDINGS LIMITED ("Promoter") holds 81.75% of total equity capital of the Bank. However, their voting right is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of the Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

B. Ran.



Resolution No. 7:
Re-appointment of Prof. N. Balakrishnan (DIN: 00181842) as Independent Director

Voting Method	Total Valid Votes	Votes in favour of the resolution			Votes against the resolution			Invalid Votes	Abstained Votes
		No. of folios	No. of Shares	% of total number of valid votes cast	No. of folios	No. of Shares	% of total number of valid votes cast		
Remote e-voting and E-voting at the AGM	43,75,05,101	263	43,75,00,531	99.9990	11	4,570	0.0010	--	--
Total	43,75,05,101	263	43,75,00,531	99.9990	11	4,570	0.0010	--	--

Based on the aforesaid results, We report that the **Special Resolution** as contained in Item No.7 of the AGM Notice dated 14th July 2021 has been passed with **requisite majority**.

Note: EQUITAS HOLDINGS LIMITED ("Promoter") holds 81.75% of total equity capital of the Bank. However, their voting right is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of the Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

B. Ravi



Resolution No. 8:**Re-appointment of Mr. Arun Kumar Verma (DIN: 03220124) as Independent Director**

Voting Method	Total Valid Votes	Votes in favour of the resolution			Votes against the resolution			Invalid Votes	Abstained Votes
		No. of folios	No. of Shares	% of total number of valid votes cast	No. of folios	No. of Shares	% of total number of valid votes cast		
Remote e-voting and E-voting at the AGM	43,75,04,651	261	43,69,93,211	99.8831	12	5,11,440	0.1169	--	450
Total	43,75,04,651	261	43,69,93,211	99.8831	12	5,11,440	0.1169	-	450

Based on the aforesaid results, We report that the **Special Resolution** as contained in Item No.8 of the AGM Notice dated 14th July 2021 has been passed with **requisite majority**.

Note: EQUITAS HOLDINGS LIMITED ("Promoter") holds 81.75% of total equity capital of the Bank. However, their voting right is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of the Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

B Ram



Resolution No. 9:**Re-appointment of Mr. Arun Ramanathan (DIN: 00308848) as Part-time Chairman and Non- Executive Independent Director**

Voting Method	Total Valid Votes	Votes in favour of the resolution			Votes against the resolution			Invalid Votes	Abstained Votes
		No. of folios	No. of Shares	% of total number of valid votes cast	No. of folios	No. of Shares	% of total number of valid votes cast		
Remote e-voting and E-voting at the AGM	43,75,04,522	256	42,18,41,765	96.4200	16	1,56,62,757	3.5800	--	579
Total	43,75,04,522	256	42,18,41,765	96.4200	16	1,56,62,757	3.5800	--	579

Based on the aforesaid results, We report that the **Special Resolution** as contained in Item No.9 of the AGM Notice dated 14th July 2021 has been passed with **requisite majority**.

Note: EQUITAS HOLDINGS LIMITED ("Promoter") holds 81.75% of total equity capital of the Bank. However, their voting right is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of the Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

B. Ram.



Resolution No. 10:

To revise the remuneration payable to Mr. Vasudevan P N, (DIN: 01550885) as the Managing Director & CEO

Voting Method	Total Valid Votes	Votes in favour of the resolution			Votes against the resolution			Invalid Votes	Abstained Votes
		No. of folios	No. of Shares	% of total number of valid votes cast	No. of folios	No. of Shares	% of total number of valid votes cast		
Remote e-voting and E-voting at the AGM	43,75,04,372	255	43,74,97,356	99.9984	17	7,016	0.0016	--	729
Total	43,75,04,372	255	43,74,97,356	99.9984	17	7,016	0.0016	--	729

Based on the aforesaid results, We report that the **Special Resolution** as contained in Item No.10 of the AGM Notice dated 14th July 2021 has been passed with **requisite majority**.

Note: EQUITAS HOLDINGS LIMITED ("Promoter") holds 81.75% of total equity capital of the Bank. However, their voting right is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of the Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

B. Ran



Resolution No. 11:**To approve issue of securities/bonds/other permissible instruments, in one or more tranches**

Voting Method	Total Valid Votes	Votes in favour of the resolution			Votes against the resolution			Invalid Votes	Abstained Votes
		No. of folios	No. of Shares	% of total number of valid votes cast	No. of folios	No. of Shares	% of total number of valid votes cast		
Remote e-voting and E-voting at the AGM	43,75,05,101	267	43,75,01,152	99.9991	7	3,949	0.0009	--	--
Total	43,75,05,101	267	43,75,01,152	99.9991	7	3,949	0.0009	--	--

Based on the aforesaid results, We report that the **Special Resolution** as contained in Item No.11 of the AGM Notice dated 14th July 2021 has been passed with **requisite majority**.

Note: EQUITAS HOLDINGS LIMITED ("Promoter") holds 81.75% of total equity capital of the Bank. However, their voting right is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of the Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

B. Ram.



Resolution No. 12:**To approve charitable contribution**

Voting Method	Total Valid Votes	Votes in favour of the resolution			Votes against the resolution			Invalid Votes	Abstained Votes
		No. of folios	No. of Shares	% of total number of valid votes cast	No. of folios	No. of Shares	% of total number of valid votes cast		
Remote e-voting and E-voting at the AGM	43,75,05,101	251	39,96,87,991	91.3562	23	3,78,17,110	8.6438	--	--
Total	43,75,05,101	251	39,96,87,991	91.3562	23	3,78,17,110	8.6438	--	--

Based on the aforesaid results, We report that the **Ordinary Resolution** as contained in Item No.12 of the AGM Notice dated 14th July 2021 has been passed with **requisite majority**.

Note: EQUITAS HOLDINGS LIMITED ("Promoter") holds 81.75% of total equity capital of the Bank. However, their voting right is restricted to 26% of total voting rights in terms of Section 12(2) of the Banking Regulation Act, 1949. Accordingly, excess of 26% of voting rights of the Promoter has not been considered in accordance with Section 12(2) of the Banking Regulation Act, 1949.

B. Ram



ANNEXURE III - VOTING RESULTS

S. No	Agenda	Resolution required (Ordinary / Special)	Mode of Voting	Remarks
1.	Adoption of Audited Financial Statements of the Bank for the Financial Year ended on 31 st March 2021 and the reports of the Board of Directors and the Auditors thereon.	Ordinary	Remote voting and e-voting at AGM	Passed with requisite majority
2.	Appointment of a Director in the place of Mr P N Vasudevan (DIN 01550885), who retires by rotation and being eligible, offers himself for re-appointment	Ordinary	Remote voting and e-voting at AGM	Passed with requisite majority
3.	Revision of the term of office of M/s T. R. Chadha & Co LLP, Chartered Accountants, Chennai (Firm Registration No: 006711N/N500028) as Statutory Auditors of the Bank.	Ordinary	Remote voting and e-voting at AGM	Passed with requisite majority
4.	Appointment of M/s Varma & Varma, Chartered Accountants, Chennai (Firm Registration No: 004532S), as Joint Statutory Auditors of the Bank	Ordinary	Remote voting and e-voting at AGM	Passed with requisite majority
5.	Appointment of Mr. Ramesh Rangan (DIN: 07586413) as Independent Director	Ordinary	Remote voting and e-voting at AGM	Passed with requisite majority
6.	Re-appointment of Mr. N Srinivasan (DIN: 01501266) as Independent Director	Special	Remote voting and e-voting at AGM	Passed with requisite majority



7.	Re-appointment of Prof. N. Balakrishnan (DIN: 00181842) as Independent Director	Special	Remote voting and e-voting at AGM	Passed with requisite majority
8.	Re-appointment of Mr. Arun Kumar Verma (DIN: 03220124) as Independent Director	Special	Remote voting and e-voting at AGM	Passed with requisite majority
9.	Re-appointment of Mr. Arun Ramanathan (DIN: 00308848) as Part-time Chairman and Non-Executive Independent Director	Special	Remote voting and e-voting at AGM	Passed with requisite majority
10.	Revision of remuneration payable to Mr. Vasudevan P N, (DIN: 01550885) as the Managing Director & CEO	Special	Remote voting and e-voting at AGM	Passed with requisite majority
11.	To approve the issue of securities/bonds/other permissible instruments, in one or more tranches	Special	Remote voting and e-voting at AGM	Passed with requisite majority
12.	To approve the charitable contribution	Ordinary	Remote voting and e-voting at AGM	Passed with requisite majority



	EQUITAS SMALL FINANCE BANK LIMITED
Date of the AGM/EGM	12-08-2021
Total number of shareholders on record date	109422
No. of shareholders present in the meeting either in person or	
Promoters and Promoter Group:	0
Public:	0
No. of Shareholders attended the meeting through Video	
Promoters and Promoter Group:	Not Applicable
Public:	Not Applicable

RESOLUTION 1

Category	Mode of voting	no of shares held	no of votes polled	% of votes polled on outstanding shares	no of votes in favour	no of votes against	% of votes in favour on votes polled	% of votes in against on votes polled	Votes invalid	Votes abstained	
Promoter & Promoter Group	E-Voting	93,39,43,363	93,39,43,352	100.0							
	Less: Not considered as		63,69,06,752	-							
	Votes Considered		29,70,36,610	-		29,70,36,611	0	100	0	0	0
	Poll		1								
			0	0.0	0	0	0	0	0	0	0
	TOTAL		29,70,36,611	-	29,70,36,611	0	100	0	0	0	
Public Insitutions	E-Voting	15,55,85,916	139831518	89.9	139831518	0	100	0	0	0	
	POLL		0	0.0	0	0	0	0	0	0	
	TOTAL		139831518		139831518						
Public Non Insitutions	E-Voting	5,29,19,224	6,36,962	1.2	6,33,355	3607	99.43	0.57	0	0	
	POLL		10		10	0	100	0			
	TOTAL		6,36,972	1.2	633365	3607	99.43	0.57			
	GRAND TOTAL	1,14,24,48,503	43,75,05,101	38.3	43,75,01,494	3607	100.00	0.00			



RESOLUTION 2

Category	Mode of voting	no of shares held	no of votes polled	% of votes polled on outstanding shares	no of votes in favour	no of votes against	% of votes in favour on votes polled	% of votes in against on votes polled	Votes invalid	Votes abstained
Promoter & Promoter Group	E-Voting	93,39,43,363	93,39,43,352	100.00						
	Less: Not considered as per banking Regulation Act, 1949		63,69,06,752	-						
	Votes Considered		29,70,36,610	-	29,70,36,610	0	100	0	0	0
	Poll		1		1					
			0	0.00	0	0	0	0	0	0
	TOTAL		29,70,36,611	-	29,70,36,611	0	100	0	0	0
Public Insitutions	E-Voting	15,55,85,916	139831518	89.87	139831518	0	100	0	0	0
	POLL		0	0.00	0	0	0	0	0	0
	TOTAL		139831518		139831518					
Public Non Insitutions	E-Voting	5,29,19,224	6,36,962	1.20	6,31,177	5785	99.09	0.9	0	0
	POLL		10		10	0	100.00	0.0		
	TOTAL		6,36,972	1.20	631187	5785	99.09	0.9		
	GRAND TOTAL	1,14,24,48,503	43,75,05,101	38.30	43,74,99,316	5785	100.00	0.00		

RESOLUTION 3

Category	Mode of voting	no of shares held	no of votes polled	% of votes polled on outstanding shares	no of votes in favour	no of votes against	% of votes in favour on votes polled	% of votes in against on votes polled	Votes invalid	Votes abstained
Promoter & Promoter Group	E-Voting	93,39,43,363	93,39,43,352	100.00						
	Less: Not considered as per banking Regulation Act, 1949		63,69,06,752	-						
	Votes Considered		29,70,36,610	-	29,70,36,610	0	100	0	0	0
	Poll		1		1					
			0	0.00	0	0	0	0	0	0
	TOTAL		29,70,36,611	-	29,70,36,611	0	100	0	0	0
Public Insitutions	E-Voting	15,55,85,916	139831518	89.87	139831518	0	100	0	0	0
	POLL		0	0.00	0	0	0	0	0	0
	TOTAL		139831518		139831518					
Public Non Insitutions	E-Voting	5,29,19,224	6,36,962	1.20	6,33,141	3821	99.40	0.60	0	0
	POLL		10		10	0	100.00	0.00		
	TOTAL		6,36,972	1.20	633151	3821	99.40	0.60		
	GRAND TOTAL	1,14,24,48,503	43,75,05,101	38.30	43,75,01,280	3821	100.00	0.00		



RESOLUTION 4

Category	Mode of voting	no of shares held	no of votes polled	% of votes polled on outstanding shares	no of votes in favour	no of votes sgainst	% of votes in favour on votes polled	% of votes in against on votes polled	Votes invalid	Votes abstained
Promoter & Promoter Group	E-Voting	93,39,43,363	93,39,43,352	100.00						
	Less: Not considered as per banking Regulation Act, 1949		63,69,06,752	-						
	Votes Considered		29,70,36,610	-	29,70,36,610	0	100	0	0	0
	Poll		1		1					
			0	0.00	0	0	0	0	0	0
	TOTAL		29,70,36,611	-	29,70,36,611	0	100	0	0	0
Public Insitutions	E-Voting	15,55,85,916	139831518	89.87	139831518	0	100	0	0	0
	POLL		0	0.00	0	0	0	0	0	0
	TOTAL		139831518	-	139831518	-	-	-	-	-
Public Non Insitutions	E-Voting	5,29,19,224	6,36,583	1.20	6,32,076	4507	99.29	0.71	0	379
	POLL		10		10	0	100.00	0.00		
	TOTAL		6,36,593	1.20	632086	4507	99.29	0.71	-	-
GRAND TOTAL	1,14,24,48,503	43,75,04,722	38.30	43,75,00,215	4507	100.00	0.00	-	-	

RESOLUTION 5

Category	Mode of voting	no of shares held	no of votes polled	% of votes polled on outstanding shares	no of votes in favour	no of votes sgainst	% of votes in favour on votes polled	% of votes in against on votes polled	Votes invalid	Votes abstained
Promoter & Promoter Group	E-Voting	93,39,43,363	93,39,43,352	100.00						
	Less: Not considered as per banking Regulation Act, 1949		63,69,06,752	-						
	Votes Considered		29,70,36,610	-	29,70,36,610	0	100	0	0	0
	Poll		1		1					
			0	0.00	0	0	0	0	0	0
	TOTAL		29,70,36,611	-	29,70,36,611	0	100	0	0	0
Public Insitutions	E-Voting	15,55,85,916	139831518	89.87	139831518	0	100	0	0	0
	POLL		0	0.00	0	0	0	0	0	0
	TOTAL		139831518	-	139831518	-	-	-	-	-
Public Non Insitutions	E-Voting	5,29,19,224	6,36,962	1.20	6,33,248	3714	99.42	0.58	0	0
	POLL		10		10	0	100.00	0.00		
	TOTAL		6,36,972	1.20	633258	3714	99.42	0.58	-	-
GRAND TOTAL	1,14,24,48,503	43,75,05,101	38.30	43,75,01,387	3714	100.00	0.00	-	-	



RESOLUTION 6

Category	Mode of voting	no of shares held	no of votes polled	% of votes polled on outstanding shares	no of votes in favour	no of votes sgainst	% of votes in favour on votes polled	% of votes in against on votes polled	Votes invalid	Votes abstained
Promoter & Promoter Group	E-Voting	93,39,43,363	93,39,43,352	100.00						
	Less: Not considered as per banking Regulation Act, 1949		63,69,06,752	-						
	Votes Considered		29,70,36,610	-	29,70,36,610	0	100	0	0	0
	Poll		1		1					
			0	0.00	0	0	0	0	0	0
	TOTAL		29,70,36,611	-	29,70,36,611	0	100	0	0	0
Public Insitutions	E-Voting	15,55,85,916	139831518	89.87	139326004	505514	99.64	0.36	0	0
	POLL		0	0.00	0	0	0.00	0.00	0	0
	TOTAL		139831518	-	139326004	505514				
Public Non Insitutions	E-Voting	5,29,19,224	6,36,962	1.20	6,31,366	5596	99.12	0.88	0	0
	POLL		10		10	0	100.00	0.00		
	TOTAL		6,36,972	1.20	631376	5596	99.12	0.88		
GRAND TOTAL	1,14,24,48,503	43,75,05,101	38.30	43,69,93,991	511110	99.88	0.12			

RESOLUTION 7

Category	Mode of voting	no of shares held	no of votes polled	% of votes polled on outstanding shares	no of votes in favour	no of votes sgainst	% of votes in favour on votes polled	% of votes in against on votes polled	Votes invalid	Votes abstained
Promoter & Promoter Group	E-Voting	93,39,43,363	93,39,43,352	100.00						
	Less: Not considered as per banking Regulation Act, 1949		63,69,06,752	-						
	Votes Considered		29,70,36,610	-	29,70,36,610	0	100	0	0	0
	Poll		1		1					
			0	0.00	0	0	0	0	0	0
	TOTAL		29,70,36,611	-	29,70,36,611	0	100	0	0	0
Public Insitutions	E-Voting	15,55,85,916	139831518	89.87	139831518	0	100	0	0	0
	POLL		0	0.00	0	0	0	0	0	0
	TOTAL		139831518	-	139831518	0				
Public Non Insitutions	E-Voting	5,29,19,224	6,36,962	1.20	6,32,392	4570	99.28	0.72	0	0
	POLL		10		10	0	100.00	0.00		
	TOTAL		6,36,972	1.20	632402	4570	99.28	0.72		
GRAND TOTAL	1,14,24,48,503	43,75,05,101	38.30	43,75,00,531	4570	100.00	0.00			



RESOLUTION 8

Category	Mode of voting	no of shares held	no of votes polled	% of votes polled on outstanding shares	no of votes in favour	no of votes against	% of votes in favour on votes polled	% of votes in against on votes polled	Votes invalid	Votes abstained
Promoter & Promoter Group	E-Voting	93,39,43,363	93,39,43,352	100.00						
	Less: Not considered as per banking Regulation Act, 1949		63,69,06,752	-						
	Votes Considered		29,70,36,610	-	29,70,36,610	0	100	0	0	0
	Poll		1		1					
			0	0.00	0	0	0	0	0	0
	TOTAL		29,70,36,611	-	29,70,36,611	0	100	0	0	0
Public Insitutions	E-Voting	15,55,85,916	139831518	89.87	139326004	505514	99.64	0.36	0	0
	POLL		0	0.00	0	0	0.00	0.00	0	0
	TOTAL		139831518		139326004	505514				
Public Non Insitutions	E-Voting	5,29,19,224	6,36,512	1.20	6,30,586	5926	99.07	0.93	0	450
	POLL		10		10	0	100.00	0.00		
	TOTAL		6,36,522	1.20	630596	5926	99.07	0.93		
GRAND TOTAL	1,14,24,48,503	43,75,04,651	38.30	43,69,93,211	511440	99.88	0.12			

RESOLUTION 9

Category	Mode of voting	no of shares held	no of votes polled	% of votes polled on outstanding shares	no of votes in favour	no of votes against	% of votes in favour on votes polled	% of votes in against on votes polled	Votes invalid	Votes abstained
Promoter & Promoter Group	E-Voting	93,39,43,363	93,39,43,352	100.00						
	Less: Not considered as per banking Regulation Act, 1949		63,69,06,752	-						
	Votes Considered		29,70,36,610	-	29,70,36,610	0	100.00	0	0	0
	Poll		1		1					
			0	0.00	0	0	0.00	0	0	0
	TOTAL		29,70,36,611	-	29,70,36,611	0	100.00	0	0	0
Public Insitutions	E-Voting	15,55,85,916	139831518	89.87	124173918	15657600	88.80	11.20	0	0
	POLL		0	0.00	0	0	0.00	0.00	0	0
	TOTAL		139831518		124173918	15657600				
Public Non Insitutions	E-Voting	5,29,19,224	6,36,383	1.20	6,31,226	5157	99.19	0.81	0	579
	POLL		10		10	0	100.00	0.00		
	TOTAL		6,36,393	1.20	631236	5157	99.19	0.81		
GRAND TOTAL	1,14,24,48,503	43,75,04,522	38.30	42,18,41,765	15662757	96.42	3.58			



RESOLUTION 10

Category	Mode of voting	no of shares held	no of votes polled	% of votes polled on outstanding shares	no of votes in favour	no of votes against	% of votes in favour on votes polled	% of votes in against on votes polled	Votes invalid	Votes abstained
Promoter & Promoter Group	E-Voting	93,39,43,363	93,39,43,352	100.00						
	Less: Not considered as per banking Regulation Act, 1949		63,69,06,752	-						
	Votes Considered		29,70,36,610	-	29,70,36,610	0	100	0	0	0
	Poll		1		1					
			0	0.00	0	0	0	0	0	0
	TOTAL		29,70,36,611	-	29,70,36,611	0	100	0	0	0
Public Insitutions	E-Voting	15,55,85,916	139831518	89.87	139831518	0	100	0	0	0
	POLL		0	0.00	0	0	0	0	0	0
	TOTAL		139831518	0	139831518	0	0	0	0	0
Public Non Insitutions	E-Voting	5,29,19,224	6,36,233	1.20	6,29,217	7016	98.90	1.10	0	729
	POLL		10		10	0	100.00	0.00		
	TOTAL		6,36,243	1.20	629227	7016	98.90	1.10		
GRAND TOTAL	1,14,24,48,503	43,75,04,372	38.30	43,74,97,356	7016	100.00	0.00			

RESOLUTION 11

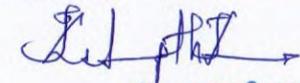
Category	Mode of voting	no of shares held	no of votes polled	% of votes polled on outstanding shares	no of votes in favour	no of votes against	% of votes in favour on votes polled	% of votes in against on votes polled	Votes invalid	Votes abstained
Promoter & Promoter Group	E-Voting	93,39,43,363	93,39,43,352	100.00						
	Less: Not considered as per banking Regulation Act, 1949		63,69,06,752	-						
	Votes Considered		29,70,36,611	-	29,70,36,611	0	100	0	0	0
	Poll		1		1					
			0	0.00	0	0	0	0	0	0
	TOTAL		29,70,36,611	-	29,70,36,611	0	100	0	0	0
Public Insitutions	E-Voting	15,55,85,916	139831518	89.87	139831518	0	100	0	0	0
	POLL		0	0.00	0	0	0	0	0	0
	TOTAL		139831518	0	139831518	0	0	0	0	0
Public Non Insitutions	E-Voting	5,29,19,224	6,36,962	1.20	6,33,013	3949	99.38	0.62	0	0
	POLL		10		10	0	100.00	0.00		
	TOTAL		6,36,972	1.20	633023	3949	99.38	0.62		
GRAND TOTAL	1,14,24,48,503	43,75,05,101	38.30	43,75,01,152	3949	100.00	0.00			



RESOLUTION 12

Category	Mode of voting	no of shares held	no of votes polled	% of votes polled on outstanding shares	no of votes in favour	no of votes against	% of votes in favour on votes polled	% of votes in against on votes polled	Votes invalid	Votes abstained
Promoter & Promoter Group	E-Voting	93,39,43,363	93,39,43,352	100.00						
	Less: Not considered as per banking Regulation Act, 1949		63,69,06,752	-						
	Votes Considered		29,70,36,610	-	29,70,36,610	0	100	0	0	0
	Poll		1		1					
			0	0.00	0	0	0	0	0	0
	TOTAL		29,70,36,611	-	29,70,36,611	0	100	0	0	0
Public Insitutions	E-Voting	15,55,85,916	139831518	89.87	102022794	37808724	72.96	27.04	0	0
	POLL		0	0.00	0	0	0.00	0.00	0	0
	TOTAL		139831518		102022794	37808724				
Public Non Insitutions	E-Voting	5,29,19,224	6,36,962	1.20	6,28,576	8386	98.68	1.32	0	0
	POLL		10		10	0	100.00	0.00		
	TOTAL		6,36,972	1.20	628586	8386	98.68	1.32		
GRAND TOTAL		1,14,24,48,503	43,75,05,101	38.30	39,96,87,991	37817110	91.36	8.64		

For Equitas Small Finance Bank Limited



Company Secretary