

Format of Holding of Specified securities

1. Name of Listed Entity: EQUITAS SMALL FINANCE BANK LIMITED
2. Scrip Code/Name of Scrip/Class of Security: 543243, EQUITASBNK, EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)
 - a. if under 31(1)(b) then indicate the report for quarter ending 30/06/2021
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		
c	Whether the Listed Entity has any shares against which depository receipts are issued?		
d	Whether the Listed Entity has any shares in locked-in?		
e	Whether any shares held by promoters are pledge or otherwise encumbered?		

*if the Listed Entity selectes the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:

Table 1 - Summary Statement holdline of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares held (V)+ (V)+(V)+(V)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form		
								Class X	Class Y	Total			No.	As a % of total Shares held				
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	(xvi)			
(A1)	Promoter & Promoter Group	6	934194247	0	0	934194247	81.78	934194247	0	934194247	81.78	0	81.78	933943363	99.97	0	0.00	934194247
(B)	Public	89263	208073664	0	0	208073664	18.22	208073664	0	208073664	18.22	0	18.22	6852994	3.29	N/A	N/A	208073649
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N/A	N/A	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N/A	N/A	0
	Total:	89313	1142448503	0	0	1142448503	100.00	1142448503	0	1142448503	100.00	0	100.00	940796057	82.35	0	0.00	11424267796

44
180592
8780 37204544 1142448503
8794 37023952 431476
208324548 1142017027 115
37023952 -37023837
-37023837

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (D+V+V)	Shareholding as a % of total no of shares (A+B+C)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total	No.	As a % of total Shares	No.		As a % of total Shares held
									Class X	Class Y	Total								
			III	IV	V	VI	D+V	D+V+V	Class X	Class Y	Total	DL	UL	PL	OL	EE			
(1)	Institutions																		
(a)	Mutual Funds		4	5182466	0	0	5182466	8.04	5182466	0	5182466	8.04	0	0.00	NA	NA	5182466		
	BI&C SHRI RAM DEVCO A&L URBAN WELFARE FUND-EQUITY PLAN	AAJTB888A	1	1450812	0	0	1450812	2.31	1450812	0	1450812	2.31	0	0.00	NA	NA	1450812		
	FPS - INVESTED INDIA CONTRA FUND	AAATL191M	1	1251917	0	0	1251917	1.93	1251917	0	1251917	1.93	0	0.00	NA	NA	1251917		
	FRANKLIN INDIA SMALL CAP COMPANIES FUND	AAJTT201H	1	1424599	0	0	1424599	2.25	1424599	0	1424599	2.25	0	0.00	NA	NA	1424599		
	INDIA ASIA LARGE CAP FUND	AAJCTM98B	1	1459382	0	0	1459382	2.30	1459382	0	1459382	2.30	0	0.00	NA	NA	1459382		
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0		
(c)	Other investment funds		62	4625696	0	0	4625696	7.40	4625696	0	4625696	7.40	0	0.00	NA	NA	4625696		
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0		
(e)	Foreign Portfolio Investors		4	587759	0	0	587759	0.93	587759	0	587759	0.93	0	0.00	NA	NA	587759		
(f)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0		
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0		
(h)	Pension Funds/Retirees Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0		
(i)	Any Other		4	1746473	0	0	1746473	2.78	1746473	0	1746473	2.78	0	0.00	NA	NA	1746473		
	Qualified Institutional Buyer		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0		
	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	AAAC7275P	1	1658812	0	0	1658812	2.61	1658812	0	1658812	2.61	0	0.00	NA	NA	1658812		
	Sub Total (Institutions)		68	14132824	0	0	14132824	22.31	14132824	0	14132824	22.31	0	0.00	NA	NA	14132824		
(2)	Central Government/State Government/President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0		
	Sub Total (Govt)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0		
(3)	Non-Institution		8793	3717454	0	0	3717454	5.85	3717454	0	3717454	5.85	0	0.00	NA	NA	3717454		
	Individual shareholders holding nominal share capital up to Rs. 2 Lakhs		96	7261720	0	0	7261720	11.41	7261720	0	7261720	11.41	0	0.00	NA	NA	7261720		
	Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs		8793	3717454	0	0	3717454	5.85	3717454	0	3717454	5.85	0	0.00	NA	NA	3717454		
(4)	MFIs, Registered with SEBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0		
(5)	Insurance Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0		
(6)	Overseas Depositories (Including DFIs/Balancing Regional)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0		
(7)	Any Other		1	277000	0	0	277000	0.43	277000	0	277000	0.43	0	0.00	NA	NA	277000		
	NEW INDICENT WEALTH		761	876110	0	0	876110	1.36	876110	0	876110	1.36	0	0.00	NA	NA	876110		
	CLARION WEALTH		31	31310	0	0	31310	0.05	31310	0	31310	0.05	0	0.00	NA	NA	31310		
	NON RESIDENT INDIAN NON RESIDENT		361	34882	0	0	34882	0.05	34882	0	34882	0.05	0	0.00	NA	NA	34882		
	INDIA CORPORATE		76	82670	0	0	82670	0.13	82670	0	82670	0.13	0	0.00	NA	NA	82670		
	Sub Total (Non-Institution)		8879	4712398	0	0	4712398	7.34	4712398	0	4712398	7.34	0	0.00	NA	NA	4712398		
	Total Public Shareholding (III + II(a)+(b)+(c)+(d)+(e)+(f)+(g)+(h)+(i)+(j)+(k)+(l)+(m)+(n)+(o)+(p)+(q)+(r)+(s)+(t)+(u)+(v)+(w)+(x)+(y)+(z))		14977	20855222	0	0	20855222	32.45	20855222	0	20855222	32.45	0	0.00	NA	NA	20855222		

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of Fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no. of shares (A+B+C)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									Class X	Class Y	Total			Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	(xvi)	(xvii)	(xviii)	
(1)	Trustee/DR holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI/Share based Employee Benefit Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total Non-Promoter-Non Public Shareholding (C1 - C1(1)+C1(2))		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
Total:		0	0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,	
No of Shareholders	No of shares