

Format of Holding of Specified securities

1. Name of Listed Entity: EQUITAS SMALL FINANCE BANK LIMITED
2. Scrip Code/Name of Scrip/Class of Security: 543243.EQUITASBNK, EQUITY SHARES
3. Share Holding Pattern Filed under: Reg.Reg.31(1)(b)
 - a. if under 31(1)(b) then indicate the report for quarter ending 31/03/2021
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration - The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	YES*	NO*
a. Whether the Listed Entity has issued any partly paid up shares		No
b. Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
c. Whether the Listed Entity has any shares against which depository receipts are issued?		No
d. Whether the Listed Entity has any shares in locked-in?	Yes	
e. Whether any shares held by promoters are pledge or otherwise encumbered?		No

*If the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:

Table 1 - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of (A+B+C))	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class X	Class Y	Total			(X)	(XII)	(XIII)	(XIV)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)				
(A)	Promoter & Promoter Group	6	933943363	0	0	933943363	81.98	933943363	0	933943363	81.98	933943363	99.98	0	0.00	933943363	
(B)	Public	76296	205334887	0	0	205334887	18.02	205334887	0	205334887	18.02	6852694	3.34	NA	NA	205113979	
(C)	Non Promoter-Non Public																
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0	
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0	
	Total:	76302	1139278250	0	0	1139278250	100.00	1139278250	0	1139278250	100.00	940796057	82.58	0	0.00	1139057342	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Indian																		
(a)	Individuals/Hindu undivided Family			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Central Government/State Government(s)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other			6	933943363	0	0	933943363	81.98	933943363	0	933943363	81.98	0	0.00	100.00	0	0.00	933943363
	EQUITAS HOLDINGS LIMITED	AAACU9126C	1	933943349	0	0	933943349	81.98	933943349	0	933943349	81.98	933943349	100.00	0	0.00	0	0.00	933943349
	S BHASKAR	AACP80870D	1	10	0	0	10	0.00	10	0	0.00	10	100.00	0	0.00	0	0.00	10	
	JOHN ALEX	AAIPA2675F	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	1	100.00	0	0.00	1
	N SRIDHARAN	AAQPS8738K	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	1	100.00	0	0.00	1
	H K N RAGHAVAN	AEFPR2343C	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	1	100.00	0	0.00	1
	ARJOT SRAVANA KUMAR	ANEP54612H	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	1	100.00	0	0.00	1
	Sub-Total (A)(1)		6	933943363	0	0	933943363	81.98	933943363	0	933943363	81.98	0	0.00	933943363	100.00	0	0.00	933943363
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	933943363	0	0	933943363	81.98	933943363	0	933943363	81.98	0	0.00	933943363	100.00	0	0.00	933943363

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Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)					
(1)	Institutions																		
	Mutual Funds		7	66855123	0	0	66855123	5.87	66855123	0	66855123	5.87	0	0.00	NA	NA		66855123	
(a)	HDFC TRUSTEE COMPANY LTD. A/C HDFC CAPITAL BUI	JBN79MLBXYAN	1	12661459	0	0	12661459	1.11	12661459	0	12661459	1.11	0	0.00	NA	NA		12661459	
	ITPL - INVESCO INDIA CONTRA FUND	AAATLS351M	1	11675805	0	0	11675805	1.02	11675805	0	11675805	1.02	0	0.00	NA	NA		11675805	
	FRANKLIN INDIA SMALLER COMPANIES FUND	AAAT14931H	1	14244569	0	0	14244569	1.25	14244569	0	14244569	1.25	0	0.00	NA	NA		14244569	
	MIRAE ASSET TAX SAVER FUND	AACTM0203B	1	13951577	0	0	13951577	1.22	13951577	0	13951577	1.22	0	0.00	NA	NA		13951577	
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(c)	Alternate Investment Funds		11	55304783	0	0	55304783	4.85	55304783	0	55304783	4.85	0	0.00	NA	NA		55304783	
	IFIL SPECIAL OPPORTUNITIES FUND - SERIES 4	AA8T14741B	1	12589220	0	0	12589220	1.11	12589220	0	12589220	1.11	0	0.00	NA	NA		12589220	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(e)	Foreign Portfolio Investors		6	11642445	0	0	11642445	1.02	11642445	0	11642445	1.02	0	0.00	NA	NA		11642445	
(f)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(i)	Any Other		1	23342371	0	0	23342371	2.05	23342371	0	23342371	2.05	0	0.00	NA	NA		23342371	
	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	AAACI7351P	1	14548412	0	0	14548412	1.28	14548412	0	14548412	1.28	0	0.00	NA	NA		14548412	
	Sub Total (B)(1)		28	157144722	0	0	157144722	13.79	157144722	0	157144722	13.79	0	0.00	NA	NA		157144722	
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(3)	Non-Institutions																		
(a)	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs		75056	36305801	0	0	36305801	3.19	36305801	0	36305801	3.19	0	0.00	NA	NA		36125685	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs		97	7713257	0	0	7713257	0.68	7495721	0	7495721	0.66	0	0.00	NA	NA		7495721	
(b)	NBFCs Registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(d)	Overseas Depositories (Holding DRs)(Balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(e)	Any Other		1	277000	0	0	277000	0.02	277000	0	277000	0.02	0	0.00	NA	NA		277000	
	TRUSTS		681	807703	0	0	807703	0.07	807703	0	807703	0.07	0	0.00	NA	NA		807703	
	NON RESIDENT INDIANS		107	875487	0	0	875487	0.08	875487	0	875487	0.08	0	0.00	NA	NA		875487	
	CLEARING MEMBERS		265	315236	0	0	315236	0.03	315236	0	315236	0.03	0	0.00	NA	NA		315236	
	NON RESIDENT INDIAN NON REPATRIABLE		61	1895681	0	0	1895681	0.17	1895681	0	1895681	0.17	0	0.00	NA	NA		1895681	
	BODIES CORPORATES																		
	Sub Total (B)(3)		76268	48190165	0	0	48190165	4.23	47972629	0	47972629	4.21	0	0.00	NA	NA		47792513	
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		76296	205334887	0	0	205334887	18.02	205117351	0	205117351	18.00	0	0.00	NA	NA		204937235	

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+CZ)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y	Total			(X)	(XI)	(XII)	(XIII)		(XIV)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)			
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0