

Format of Holding of Specified securities

1. Name of Listed Entity: EQUITAS SMALL FINANCE BANK LIMITED
2. Scrip Code/Name of Scrip/Class of Security: ESFB, EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(i)
 - a. if under 31(1)(b) then indicate the report for quarter ending 31/12/2020
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	YES*	NO*
a Whether the Listed Entity has issued any partly paid up shares		NO
b Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d Whether the Listed Entity has any shares in locked-in?	YES	
e Whether any shares held by promoters are pledged or otherwise encumbered?		NO

*If the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								Class X	Class Y	Total (X)			No.	As a % of total Shares held	No.	As a % of total Shares held		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	Class X	Class Y	Total (X)	(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)		
(A)	Promoter & Promoter Group	6	933943363	0	0	933943363	82.05	933943363	0	933943363	82.05	0	82.05	933943363	100.00	0	0.00	933943363
(B)	Public	84236	204306723	0	0	204306723	17.95	204306723	0	204306723	17.95	0	17.95	6852694	3.35	NA	NA	204306723
(C)	Non Promoter-Non Public						NA				0.00	0	NA					
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total:	84242	1138250086	0	0	1138250086	100.00	1138250086	0	1138250086	100.00	0	100.00	940796057	82.65	0	0.00	1138250086

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
									Class X	Class Y	Total			No.	As a % of total Shares held	No.	As a % of total Shares held	
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)			(IX)		(X)		(XII)		(XIII)	(XIV)
(1)	Indian																	
(a)	Individuals/Hindu undivided Family			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(b)	Central Government/State Government(s)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(c)	Financial Institutions/Banks			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(d)	Any Other			6	933943363	0	0	82.05	933943363	0	933943363	82.05	0	82.05	933943363	100.00	0	0.00
	EQUITAS HOLDINGS LIMITED	AAACU9126C	1	933943349	0	0	933943349	82.05	933943349	0	933943349	82.05	0	82.05	933943349	100.00	0	0.00
	S BHASKAR	AACP80870D	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	10	100.00	0	0.00
	JOHN ALEX	AAIP2675F	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	1	100.00	0	0.00
	N SRIDHARAN	AAQP58738K	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	1	100.00	0	0.00
	H K N RAGHAVAN	AEF9K2343C	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	1	100.00	0	0.00
	ARCOT SRAVANA KUMAR	ANEP54612H	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	1	100.00	0	0.00
	Sub-Total (A)(1)		6	933943363	0	0	933943363	82.05	933943363	0	933943363	82.05	0	82.05	933943363	100.00	0	0.00
(2)	Foreign																	
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(b)	Government			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(c)	Institutions			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(d)	Foreign Portfolio Investor			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(e)	Any Other			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	933943363	0	0	933943363	82.05	933943363	0	933943363	82.05	0	82.05	933943363	100.00	0	0.00

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (V+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									Class X	Class Y	Total			No.	As a % of total Shares held	No.	As a % of total Shares held		
																			No of Voting Rights
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)						
(1)	Institutions																		
(a)	Mutual Funds		9	52741098	0	0	52741098	4.63	52741098	0	52741098	4.63	0	4.63	0	0.00	NA	NA	52741098
	FRANKLIN INDIA SMALLER COMPANIES FUND	AAAT14931H	1	13370612	0	0	13370612	1.17	13370612	0	13370612	1.17	0	1.17	0	0.00	NA	NA	13370612
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds		11	53391910	0	0	53391910	4.69	53391910	0	53391910	4.69	0	4.69	6852694	12.83	NA	NA	53391910
	IFS SPECIAL OPPORTUNITIES FUND - SERIES 4	AAAT14741B	1	12738648	0	0	12738648	1.12	12738648	0	12738648	1.12	0	1.12	0	0.00	NA	NA	12738648
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors		11	15283894	0	0	15283894	1.34	15283894	0	15283894	1.34	0	1.34	0	0.00	NA	NA	15283894
(f)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																		
	Qualified Institutional Buyer		4	27290835	0	0	27290835	2.40	27290835	0	27290835	2.40	0	2.40	0	0.00	NA	NA	27290835
	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	AAAC17351P	1	14548412	0	0	14548412	1.28	14548412	0	14548412	1.28	0	1.28	0	0.00	NA	NA	14548412
	Sub Total (B)(1)		35	148707737	0	0	148707737	13.06	148707737	0	148707737	13.06	0	13.06	6852694	4.61	NA	NA	148707737
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(3)	Non-Institutions																		
(a)	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs		82982	43788620	0	0	43788620	3.85	43788620	0	43788620	3.85	0	3.85	0	0.00	NA	NA	43788620
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs		93	7811449	0	0	7811449	0.69	7811449	0	7811449	0.69	0	0.69	0	0.00	NA	NA	7811449
(b)	NBFCs Registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs) (Balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other																		
	TRUSTS		1	277000	0	0	277000	0.02	277000	0	277000	0.02	0	0.02	0	0.00	NA	NA	277000
	NON RESIDENT INDIANS		661	778469	0	0	778469	0.07	778469	0	778469	0.07	0	0.07	0	0.00	NA	NA	778469
	CLEARING MEMBERS		137	1193154	0	0	1193154	0.10	1193154	0	1193154	0.10	0	0.10	0	0.00	NA	NA	1193154
	NON RESIDENT INDIAN NON REPATRIABLE		269	455869	0	0	455869	0.04	455869	0	455869	0.04	0	0.04	0	0.00	NA	NA	455869
	BODIES CORPORATES		58	1294425	0	0	1294425	0.11	1294425	0	1294425	0.11	0	0.11	0	0.00	NA	NA	1294425
	Sub Total (B)(3)		84201	55598986	0	0	55598986	4.88	55598986	0	55598986	4.88	0	4.88	0	0.00	NA	NA	55598986
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		84236	204306723	0	0	204306723	17.95	204306723	0	204306723	17.95	0	17.95	6852694	3.35			204306723

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (V+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									Class X	Class Y	Total			No.	As a % of total Shares held	No.	As a % of total Shares held		
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Custodian/DR Holder			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regu			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total Non-Promoter-Non Public Shareholding (C) = (C)1+(C)2			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0